



Ref: SSFL/Stock Exchange/2024-25/004

Date: April 12, 2024

To  
BSE Limited,  
Department of Corporate Services  
P. J. Towers, 25<sup>th</sup> Floor,  
Dalal Street,  
Mumbai - 400001

To  
National Stock Exchange of India Limited,  
Listing Department  
Exchange Plaza, C-1, Block G  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400051

**Sub: Submission of Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2024.**

In compliance of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith compliance certificate for financial year ended March 31, 2024.

Kindly take the same on record.

Thank you.  
Yours Sincerely,

**For Spandana Sphoorty Financial Limited**

**Vinay Prakash Tripathi**  
Company Secretary and Compliance Office

*Encl: as above*

**Spandana Sphoorty Financial Limited**

CIN - L65929TG2003PLC040648

Galaxy, Wing B, 16th Floor, Plot No.1, Sy No 83/1, Hyderabad Knowledge City,  
TSIIC, Raidurg Panmaktha, Hyderabad – 500081, Telangana

Ph: +9140-45474750 | [contact@spandanaspfoorty.com](mailto:contact@spandanaspfoorty.com) | [www.spandanaspfoorty.com](http://www.spandanaspfoorty.com)



## CERTIFICATE

This is to certify that, Spandana Sphoorty Financial Limited ("the Company") has appointed Kfin Technologies Limited ("KFIN") as the Share Transfer Agent of the Company for providing Registry and Share Transfer services to the Company.

Further certify that:

1. KFIN holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR00000221 issued by Securities Exchange Board of India ("SEBI").
2. KFIN is handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
3. KFIN is maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

This certificate is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2024.

Please find attached compliance certificates issued by KFIN dated April 04, 2024 for your record.

**For Spandana Sphoorty Financial Limited**

**Vinay Prakash Tripathi**  
**Company Secretary and Compliance Office**

*Encl: as above*

Date: April 12, 2024

Place: Hyderabad

**Spandana Sphoorty Financial Limited**

CIN - L65929TG2003PLC040648

Galaxy, Wing B, 16th Floor, Plot No.1, Sy No 83/1, Hyderabad Knowledge City,

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COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to SPANDANA SPHOORTY FINANCIAL LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and Maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking You

Yours faithfully,  
for KFIN TECHNOLOGIES LIMITED



C Shobha Anand  
Dy. Vice President

Place: Hyderabad  
Date : 04/04/2024

**KFin Technologies Limited**   
(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**  
Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

**CIN: L72400TG2017PLC117649**