



April 19, 2021

<b>National Stock Exchange of India Ltd.,</b> Listing Compliance Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400 051 <b>Scrip Symbol: GALAXYSURF</b>	<b>BSE Limited,</b> Listing Department, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 <b>Scrip Code: 540935</b>
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**Sub: Submission of Compliance Certificate for the year ended March 31, 2021.**

**Ref: Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir/Madam,

We are hereby submitting the compliance certificate dated April 15, 2021 as required under captioned regulation for the year ended March 31, 2021 issued by S. Sathyanarayanan & Co., Practicing Company Secretaries.

This is for your information and records.

Yours faithfully,

For **Galaxy Surfactants Limited**

**Niranjan Ketkar**  
**Company Secretary**  
**M. No. A20002**

encl.: As above

**Communication Address:**  
Rupa Solitaire,  
Ground Floor, Unit no. 8, 12A and 14  
Millennium Business Park, Mahape,  
Navi Mumbai, 400 710  
Ph: +91-22-33063700

**Galaxy Surfactants Limited**  
**Regd. Office:** C-49/2, TTC Industrial Area,  
Pawne, Navi Mumbai-400 703, India  
CIN: L39877MH1986PLC039877  
Ph: +91-22-27616666  
Fax : +91-22-27615883/ 27615886  
e-mail : [galaxy@galaxysurfactants.com](mailto:galaxy@galaxysurfactants.com)  
Website: [www.galaxysurfactants.com](http://www.galaxysurfactants.com)



**S. SATHYANARAYANAN & CO**

Company Secretaries



**S. Sathyanarayanan**

B.Com., LL.B., PGDFM., FCS.

Shop No. 1 & 2, A-wing, Girnar Apartments, Shastri Nagar,  
Behind Indusind Bank, Ambadi Road, Vasai Road (w),  
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**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup>, MARCH 2021.**

I have examined through online sources all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Galaxy Surfactants Limited, (the "Company")** having its Registered Office at C-49/2, TTC, Industrial Area, Pawane, Navi Mumbai 400703 maintained by **Link Intime India Private. Limited.**, Registrar and Share Transfer Agents of the Company having its Office at 247, Lal Bahadur Shastri Marg, Surya Nagar, Gandhi Nagar, Vikhroli West, Mumbai, Maharashtra 400083 pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for and on behalf of the Company to BSE Limited and National Stock Exchange of India Ltd, where the Equity Shares of the Company are listed and based on the information provided by the Registrar to the Company, I hereby certify that:

A.) The Company has delivered\* (See our Note below) during the half year ended on 31<sup>st</sup> March, 2021, Share Certificate(s) relating to the transfer and transmission of Share received and approved during the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each deed excepting those rejected on technical ground.

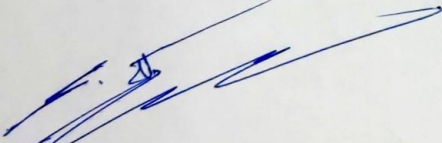
B.) There were no requests received relating to sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies issue of duplicate share certificate or deletion of name during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

**NOTE**

\* As per SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dt. 2<sup>nd</sup> December, 2020, Link Intime India Private. Limited., Registrar and Share Transfer Agents of the Company informed that they have held back the share certificates wherever transfer is effected and intimated the shareholders to apply for the dematerialization of the shares as per SEBI Format.

On verification carried on test basis it appears that some Transposition and deletions were shown as transfer by Link Intime India Private. Limited., Registrar and Share Transfer Agents of the Company.

**S. Sathyanarayanan & Co.,**  
Company Secretaries



**S. Sathyanarayanan**

**Proprietor**

**C.P. No. 3251**

**UDIN: F004966C000093175**

**Date: 15<sup>th</sup> April, 2021**

**Place: Vasai**