

# Alps Industries Ltd.

REGD./CORP. OFFICE  
57/2, Site-IV, Industrial Area  
Sahibabad, Ghaziabad - 201010  
Uttar Pradesh (India)

ALPS/062/SE11/2020-2021

October 14, 2020

The General Manager  
Market Operations Deptt.,  
National Stock Exchange of India Ltd.,  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G-Block, Bandra-Kurla Complex  
Bandra (E), **Mumbai - 400 051**  
Ph - 91-22-2659 8101 - 8114  
Fax : 022 - 26598237/38/ 2659 8100  
**Email - cmlist@nse.co.in**

Ms. Erika D'Sa, (Relationship Manager)  
Corporate Relationship Deptt.,  
**Bombay Stock Exchange Limited,**  
1<sup>st</sup> Floor New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, **Mumbai-400 001.**  
Ph - 022-22728995  
Fax: 022 - 22723121 /  
3719/2037/2039/2041/2061  
Email - intern.erika@bseindia.com,  
corp.relations@bseindia.com

**SUB : SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON SEPTEMBER 30, 2020.**

Dear Sir,

With reference to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Certificate as required under the said regulation for the half year ended on September 30, 2020 duly received by Mr. Rajiv Khosla, Proprietor of Rajiv Khosla and Associates, Practicing Company Secretary.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**

  
(Ajay Gupta)  
Company Secretary  
& General Manager - Legal  
Encl. a/a.

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005  
Mobile : 9811583699 Telefax : 95120-2610974  
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## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE HALF YEAR ENDED ON SEPTEMBER 30, 2020.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED (CIN: L54409UP1972PLC003544)** having its Registered & Corporate office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) maintained by Registrar & Transfer Agent **M/s. ALANKIT ASSIGNMENTS LIMITED (CIN: U74210DL1991PLC042569)**, 205-208, Anarkali Market, Jhandewalan Extension, New Delhi-110055 pertaining to transfer of equity shares of the company for the period from **April 1, 2020 to September 30, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, **ALPS INDUSTRIES LIMITED** with Bombay Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during half year ended on **September 30, 2020**:

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **April 1, 2020 to September 30, 2020** as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the half year ended on 30.09.2020.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)  
C.P. NO. -3927



PLACE: GHAZIABAD  
DATE : OCTOBER 14, 2020

UDIN: F0051973 800947699