



इंडियन रेलवे कैंटरिंग एण्ड टूरिज्म कॉरपोरेशन लिमिटेड  
(भारत सरकार का उद्यम-मिनी रत्न)  
INDIAN RAILWAY CATERING AND TOURISM CORPORATION LTD.  
(A Govt. of India Enterprise-Mini Ratna)

"CIN-L74899DL1999GOI101707" E-mail : info@iretc.com Website : www.iretc.com

No.2020/IRCTC/CS/COPIT/355A

12<sup>th</sup> November, 2020

<b>BSE Limited</b> (Through BSE Listing Centre) 1 <sup>st</sup> Floor, New Trade Wing, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street Fort, Mumbai-400001  <b>Scrip Code: 542830</b>	<b>National Stock Exchange of India Ltd.</b> (Through NEAPS) National Stock Exchange of India Ltd., Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051  <b>Scrip Symbol: IRCTC</b>
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**Sub: Report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sir/Madam,


This has reference to SEBI circular no. SEBI/HO/ISD/CIR/P/2019/82 dated 19th July, 2019 and Securities and Exchange Board of India (Prohibition of Insider Trading) (Amendment) Regulations, 2020 in relation to Standardizing Reporting of violations related to Code of Conduct under SEBI (Prohibition of Insider Trading ) Regulations, 2015.

Please find enclosed report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 by employees of the Company.

This is for your information and records, please.

Thanking you,

**For Indian Railway Catering and Tourism Corporation Limited**

  
(Suman Kalra)  
Company Secretary and Compliance Officer  
Membership No: F9199





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**Annexure-A-I**

**Report by Indian Railway Catering and Tourism Corporation Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulation, 2015**

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediary/Fiduciary: Schedule C read with Regulations 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

S. No	Particulars	Details
1.	Name of the Listed Company	Indian Railway Catering and Tourism Corporation Limited
2.	Please tick appropriate checkbox Reporting the capacity of: Listed Company Intermediary Fiduciary	Listed Company
3.	Name of the Designated Person (DP), Name of the immediate relative of DP, if reporting is for immediate relative.	Shri Dilip Kumar Mohanty
4.	PAN of DP PAN of the immediate relative of DP, reporting is for immediate relative.	ADDPM1558M
5.	Designation of DP	Assistant Manager (Finance)
6.	Functional Role of DP	AM Finance Department, Regional Office, Bhubaneswar
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8.	Transaction details	Indian Railway Catering and Tourism Corporation Limited
	a) Name of the scrip	NSE: IRCTC BSE: 542830
	b) No of Shares traded (which includes pledge) and value (Rs) (Date-wise)	22.10.2020 - sold 40 shares @ Rs. 1329.12 amounting to Rs. Rs 53,165
9.	In case value of trade(s) is more than Rs 10 lacs in a calendar quarter	Not applicable
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of	Not applicable







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	2015	
	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Sale of Securities of the Company by Mr Dilip Kumar Mohanty, identified as Designated Person by the Company when the trading window was closed pursuant to Schedule B sub regulation(1) of Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015. Further non disclosure of sale to the company as per clause 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.
11.	Action taken by Listed company/ Intermediary/ Fiduciary	Enquiry being conducted
12.	Reasons recorded in writing for taking action stated above	We contacted Mr. Dilip Kumar Mohanty and he expressed that he did not have any malafide intention and was in urgent need of funds. He further informed this would not be repeated in the future. He also informed that he had no intention to violate the Regulations.
13.	Details of the previous instances of violations, if any, since last financial year	None
14.	Any other relevant information	None

Date : 12<sup>th</sup> November, 2020  
Place: New Delhi



Thanking you,

Yours faithfully,

(Suman Kalra)

Name and Signature of Compliance Officer  
Email ID- [companysecretary@irctc.com](mailto:companysecretary@irctc.com)  
PAN-AIUPG6083A



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**Annexure-A-II**

**Report by Indian Railway Catering and Tourism Corporation Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulation, 2015**

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediary/Fiduciary: Schedule C read with Regulations 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

S. No	Particulars	Details
1.	Name of the Listed Company	Indian Railway Catering and Tourism Corporation Limited
2.	Please tick appropriate checkbox Reporting the capacity of: Listed Company Intermediary Fiduciary	Listed Company
3.	Name of the Designated Person (DP), Name of the immediate relative of DP, if reporting is for immediate relative.	Shri Martunjay Tewari
4.	PAN of DP PAN of the immediate relative of DP, reporting is for immediate relative.	ADXPT7533K
5.	Designation of DP	Jt. General Manager
6.	Functional Role of DP	As Jt. General Manager in Internet Ticketing Centre of IRCTC looking after the segment of mobile app of e-ticketing and agent business.
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8.	Transaction details	Indian Railway Catering and Tourism Corporation Limited
	a) Name of the scrip	NSE: IRCTC BSE: 542830
	b) No of Shares traded (which includes pledge) and value (Rs) (Date-wise)	02.09.2020 - Sold 69 shares @ Rs. 1354. 72 amounting to Rs 93,475 {During closure of Trading Window} 18.09.2020- Purchased 10 shares @ Rs.1373 amounting to 13,730 { During open Trading Window} Contra trade took place as transactions were done within 6 months.







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9.	In case value of trade(s) is more than Rs 10 lacs in a calendar quarter	Not applicable
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Sale of Securities of the Company by Mr Martunjay Tewari, identified as Designated Person by the Company when the trading window was closed pursuant to Schedule B sub regulation(1) of Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015. Further non disclosure of sale to the company as per clause 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.
11.	Action taken by Listed company/ Intermediary/ Fiduciary	Enquiry being conducted
12.	Reasons recorded in writing for taking action stated above	We contacted Mr. Martunjay Tewari and he expressed that he did not have any malafide intention. He further informed that the transaction was done inadvertently and these would not be repeated in the future. He also informed that he had no intention to violate the Regulations.
13.	Details of the previous instances of violations, if any, since last financial year	None
14.	Any other relevant information	None

Date : 12<sup>th</sup> November, 2020  
Place: New Delhi



Thanking you,  
Yours faithfully,

(Suman Kalra)

Name and Signature of Compliance Officer

Email ID- [companysecretary@irctc.com](mailto:companysecretary@irctc.com)

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**Annexure-A-III**

**Report by Indian Railway Catering and Tourism Corporation Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulation, 2015**

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediary/Fiduciary: Schedule C read with Regulations 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

S. No	Particulars	Details
1.	Name of the Listed Company	Indian Railway Catering and Tourism Corporation Limited
2.	Please tick appropriate checkbox Reporting the capacity of: Listed Company Intermediary Fiduciary	Listed Company
3.	Name of the Designated Person (DP), Name of the immediate relative of DP, if reporting is for immediate relative.	Shri Vayu Nandan Shukla
4.	PAN of DP PAN of the immediate relative of DP, reporting is for immediate relative.	BHPPS0911M
5.	Designation of DP	Regional Manager
6.	Functional Role of DP	Looking after the operations of Ahmedabad area as Regional Manager
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8.	Transaction details	Indian Railway Catering and Tourism Corporation Limited
	a) Name of the scrip	NSE: IRCTC BSE: 542830
	b) No of Shares traded (which includes pledge) and value (Rs) (Date-wise)	13.08.2020- Purchased 100 shares @ Rs. 1390 amounting to Rs 1,39,000 {During trading window closure} 13.03.2020 - Sold 100 shares @ 1200 amounting to Rs 1,20,000 {During open trading window} Contra trade took place as transactions were done within 6 months.
	In case value of trade(s) is more than Rs 10	Not applicable







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	lacs in a calendar quarter	
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not applicable
10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Purchase of Securities of the Company by Mr Vayu Nandan Shukla, identified as Designated Person by the Company when the trading window was closed pursuant to Schedule B sub regulation(1) of Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015. Further non disclosure of purchase to the company as per clause 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.
11.	Action taken by Listed company/ Intermediary/ Fiduciary	Enquiry being conducted
12.	Reasons recorded in writing for taking action stated above	We contacted Mr. Vayu Nandan Shukla and he expressed that he did not have any malafide intention. He further informed that the transaction was done inadvertently. He also informed that he had no intention to violate the Regulations.
13.	Details of the previous instances of violations, if any, since last financial year	None
14.	Any other relevant information	None

Date : 12<sup>th</sup> November, 2020

Place: New Delhi



Thanking you,

Yours faithfully,

(Suman Kalra)

Name and Signature of Compliance Officer

Email ID- [companysecretary@irctc.com](mailto:companysecretary@irctc.com)

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