



Date: April 25, 2022

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Dear Sir/Madam,

We enclose herewith the Certificate as required under Regulation 40(10) of SEBI (LODR) Regulations, 2015 issued by Gaurav Arora, Company Secretary dated April 25, 2022 for the year ended 31.03.2022.

Kindly find the above in order and acknowledge the same.

Thanking you,

For Aravali Securities and Finance Limited


Ruchi Shrivastava
Company Secretary

Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, 4th Floor, Plot No. 136, Sector-44, Gurgaon-122003
Phone : +91-124-4556677

CIN : L67120HR1980PLC039125 | Website: www.aravallisecurities.com | Email : info@aravallisecurities.com



Gaurav Arora & Co.

Company Secretaries

Office No. G-12 G/F Plot No. H-7 Aggarwal Plaza,
Netaji Subhash Place, New Delhi-110034

acs.gauravarora@gmail.com



+91 9911848632

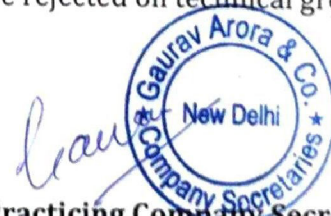


CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2022

I Gaurav Arora, Practicing Company Secretary, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ARAVALI SECURITIES AND FINANCE LIMITED** maintained by **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2021 to 31st March, 2022 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA , I hereby certify that during the period from 1st April, 2021 to 31st March, 2022:

- Share certificate(s) / letter(s) of confirmation (as per SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25.01.2022), as applicable, relating to request(s) for transmission, issue of duplicate shares in lieu of original lost/misplaced and consolidation of share certificate received during the period from 1st April, 2021 to 31st March, 2022 were dispatched within 30 days of receiving necessary documents and completion of all formalities; and
- I hereby certify that all the shares certificate(s) has been delivered within thirty days of lodgment of transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies which are received during the half year ended on 31st March, 2021 except those which are rejected on technical ground.



Practicing Company Secretary
Gaurav Arora
CP No 17696

UDIN: A048327D000197091

Place: New Delhi

Date: 25-04-2022