



**ARYAMAN**  
FINANCIAL SERVICES LTD

**Corporate Office :**  
60, Khatau Building, Gr. Floor,  
Alkesh Dinesh Modi Marg, Opp. P. J. Tower (BSE Bldg.),  
Fort, Mumbai - 400 001.  
Tel. : 022-6216 6999 / 2261 8264  
Fax : 2263 0434  
Email : info@afsl.co.in • Website : www.afsl.co.in  
CIN : L74899DL1994PLC059009  
GSTIN : 27AABCA1376P1ZD

**March 20, 2024**

To  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001

**Reference: Scrip Code - 530245**

**Sub: Intimation under Regulation 30 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR")**

Dear Sir,

Pursuant to Regulation 30 of SEBI LODR, the Company is required to disclose details of action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity, subsidiary etc. as mentioned in Clause 20 of Para A of Part A of Schedule III of SEBI LODR. Accordingly, please find below the details of SEBI order w.r.t. inspection carried out by SEBI for the period April 01, 2022 to December 31, 2023.

The details, as required under Clause 20 of Part A of Schedule III of the SEBI Listing Regulations, read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given under as Annexure – A.

Kindly take the same on record.

Thanking you  
Yours Faithfully,

**FOR ARYAMAN FINANCIAL SERVICES LIMITED**

**SHRIPAL SHAH**  
**DIRECTOR**  
**DIN: 01628855**



**Annexure - A**

**Requisite details pursuant to Regulation 30 of the SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

<b>Sr. No.</b>	<b>Particulars</b>	<b>Details</b>
1	Name of the Authority	Securities and Exchange Board of India ("SEBI")
2	Nature and details of the actions taken, initiated or orders passed	Securities and Exchange Board of India (SEBI) vide letter no. SEBI/HO/CFD/SEC-5/OW/P/2024/11155/1 had issued administrative warning.
3	Date of receipt of direction or order including any ad-interim or interim orders, or any other communication from the authority	March 19, 2024
4	Details of the violations / contraventions committed or alleged to be committed	Violation of provisions of Regulation 9A(1)(c) and Regulation 13 read with clause 21 of Schedule III (code of conduct) of SEBI (Merchant Bankers) Regulations 1992.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Not quantifiable in monetary terms.

**FOR ARYAMAN FINANCIAL SERVICES LIMITED**

**SHRIPAL SHAH**  
**DIRECTOR**  
**DIN: 01628855**