

# **DHUNSERI INVESTMENTS LIMITED**

REGISTERED OFFICE : DHUNSERI HOUSE 4A, WOODBURN PARK, KOLKATA - 700 020

CIN: L15491WB1997PLC082808

Ref. No. DIL/108/2021/

16.04.2021

To,  
BSE Limited  
(Scrip Code: 533336)  
Floor 25, P. J. Towers,  
Dalal Street,  
Mumbai - 400001

National Stock Exchange of India Limited  
(Symbol: DHUNINV)  
Exchange Plaza,  
Plot No: C/1, G Block,  
Bandra - Kurla Complex, Bandra (E)  
Mumbai - 400 051

**Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021**

Dear Sir/Ma'am,

Please find enclosed a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the period from 1st October, 2021 to 31<sup>st</sup> March, 2021.

This is for your information and record.

Thanking You.

Yours faithfully,  
For **Dhunseri Investments Limited**

*Priya Agarwal*

Priya Agarwal  
Company Secretary & Compliance Officer  
ACS 38800



Encl: As Above



**Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021**

The Securities and Exchange Board of India (SEBI) vide Notification dated June 8, 2018 read with Notification dated November 30, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Subsequently, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior of April 1, 2019 and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. Further, SEBI vide its circular dated September 7, 2020, has fixed March 31, 2021, as the cut-off date for re-lodgement of transfer deeds and stated that the shares that are re-lodged for transfer (including those request that are pending with the listed company / RTA, as on date) shall henceforth be issued only in demat mode.

Accordingly, I have examined the relevant records of **Dhunseri Investments Limited** (the Company), having Registered Office at Dhunseri House, 4A Woodburn Park, Kolkata- 700020, maintained by Registrar and Share Transfer Agent (RTA), Maheshwari Datamatics Private Limited, 23, R N Mukherjee Road, 5th Floor, Kolkata - 700001, for the period from October 1, 2020 to March 31, 2021, for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company/(RTA), I hereby certify that:

- A) 13 (Thirteen) requests for registration of transfer of physical shares, which were lodged before April 1, 2019 have been re-lodged during the period from October 1, 2020 to March 31, 2021 and that all 13 (Thirteen) cases have been processed and registered within 30 days.
- B) 5 (Five) request for registration of transmission of physical shares were received during the period from October 1, 2020 to March 31, 2021. The same was processed and the respective share certificate has been issued after 30 days upon rectification of the defects and resubmission of documents by the applicants.
- C) No request for split or consolidation, renewal, exchange or endorsement of calls/allotment monies has been received during the period from October 1, 2020 to March 31, 2021.

Date: April 13, 2021  
Place: Kolkata

**For M Shahnawaz & Associates**  
Company Secretaries  
Firm Regn. No.: S2015WB331500

*M. Shahnawaz*

**CS Md. Shahnawaz**

(Proprietor)

ACS No. 21427

C.P. No: 15076

**UDIN: A021427C000073215**

