

OGL/ND/2024

April 25, 2024

The Manager
National Stock Exchange of India Ltd.
Exchange Plaza, Bandra-Kurla Complex,
Bandra (East)
Mumbai - 400051
Email: cmlist@nse.co.in
Scrip Code: OSWALGREEN

The Manager
BSE Ltd.
Department of Corporate Services
Floor 25, P J Towers, Dalal Street,
Mumbai - 400001
Email: corp.relations@bseindia.com
Scrip Code: 539290

Dear Sir/ Madam,

Subject: Certificate under regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

As required under regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate issued by the Practising Company Secretary for the financial year ended March 31, 2024 pertaining to compliance of the requirement under the said regulation by our registrar and share transfer agent i.e. Skyline Financial Services Private Limited, D-153A, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi-110020.

Request you to please take the same on record.

Thanking you.

For Oswal Greentech Limited

Sonal Gupta
Company Secretary and Compliance Officer



CT & Company
Company Secretaries

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024.

In reference to the Securities and Exchange Board of India (SEBI) gazette notification dated June 08, 2018, and vide its press release dated December 03, 2018, for amendment in Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI notification dated January 24, 2022 and SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022.

We have examined the relevant books and records of **Oswal Greentech Limited** having its **Registered Office at Near Jain Colony Vijay Inder Nagar, Daba Road, Ludhiana Pb 141003 IN** maintained by its RTA, **Skyline Financial Services Private Limited, D-153A, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi, Delhi-110020, India**, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its RTA, we hereby certify that in our opinion and according to the best of our information and belief, the company has delivered during the Financial Year ended **March 31, 2024:**

- a) No Physical transfer of shares effected during the financial year ended on March 31, 2024.
- b) Share Certificate(s) relating to transmission/transposition of shares and duplicate shares have been processed within thirty days of the date of lodgement request for transmission/transposition, or duplicate shares from the respective date of lodgement.
- c) The Company has not received any request for remat, split, consolidation, renewal, exchange and endorsement and sub-division of calls/allotment monies during the period from April 1, 2023 to March 31, 2024.

FOR **CT & COMPANY**
Company Secretaries

Shivam


Shivam Garg

Partner

FCS- 11497

COP- 16406

UDIN: F011497F000240825

Peer Review Certificate No.:2090/2022

Date: 25.04.2024

Place: New Delhi

Head Office: 612, Suryakiran Building, 19 KG Marg, Connaught Place, New Delhi - 110001

Branch Office: 19, 1st Floor, Feroze Gandhi Market, Ludhiana - 141001

Tel: +91-11-43550617, 23350617, E-mail: Shivam.garg@corporatetrust.in