

Upsurge Investment & Finance Ltd.

Date: 07th October 2020

To,
The General Manager,
Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Sub: Compliance Certificate for the half year ended September 30, 2020 as per Regulation 7(3) of the SEBI (LODR) Regulations, 2015

Ref: Company Name: Upsurge Investment & Finance Limited (Script Code No. 531390)

Dear Sir,

As per Regulation 7(3) of the SEBI (Listing Obligation Disclosure Requirement) Regulations, 2015 please find enclosed herewith a Compliance Certificate duly signed by authorized person of Adroit Corporate Services Private Limited and Compliance Officer of the Company for the period beginning from 1st April, 2020 to 30th September, 2020.

Kindly take the same in your records.

Thanking you
Yours faithfully,

For Upsurge Investment & Finance Limited



Ela Gupta
Company Secretary & Compliance Officer



Encl. a/a

Upsurge Investment & Finance Ltd.

October 03, 2020

To

BSE LIMITED
CORPORATE RELATION DEPARTMENT
P J TOWERS,
DALAL STREET,
MUMBAI 400001

Dear Sir/Madam,

Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

ISIN: INE890B01014

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period April 01, 2020 to September 30, 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Adroit Corporate Services Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000002227.

We request you to kindly take note of the above in your records.

Thanking you,

For UPSURGE INVESTMENT & FINANCE LIMITED

For ADROIT CORPORATE SERVICES PRIVATE LTD



Director/Compliance Officer/Co. Secretary

MR SANDEEP SHINDE
MANAGER