



Ref. No.: AUSFB/SEC/2020-21/437

Date: 25th March 2021

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. BSE Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **1,23,392** (One Lakh Twenty Three Thousand Three Hundred ninety two only) Equity shares of face value of Rs. 10/- each on 24th March 2021 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan A	7,680
ESOP Scheme 2015 Plan B	6,012
ESOP Scheme 2016	59,062
ESOP Scheme 2018	50,638
Total	1,23,392

The paid-up equity share capital of the Bank has increased from Rs. 3,12,08,99,980 consisting of 31,20,89,998 equity shares having a face value of Rs. 10/- each to Rs. 3,12,21,33,900 consisting of 31,22,13,390 equity shares having a face value of Rs. 10/- each.

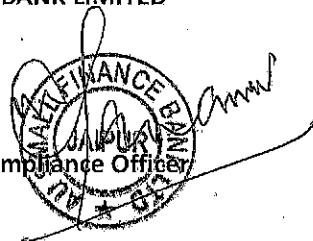
Kindly take above on your record.

Thanking You,

Yours faithfully,

FOR AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary & Compliance Officer
Membership No.: F9999



Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1999PLC011381
Formerly known as Au Financiers (India) Limited

info@aubank.in | www.aubank.in

SEARCHABLE FORMAT

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