

12<sup>th</sup> April, 2021

To,  
BSE Limited,  
Pheroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai-400001

**Scrip Code: 523369**


**Sub: Certificate u/r 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021**

Dear Sir,

Pursuant to Regulation 40(9) & 40(10) of SEBI (LODR) Regulations, 2015, we attach herewith a certificate from Mr. Upender Jajoo (COP: 14336), Practicing Company Secretary, for the period 01.10.2020 to 31.03.2021.

Yours Faithfully



  
Y.D. Gupta  
Company Secretary &  
Compliance Officer  
 FCS 3405

Encl: As above



**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS)  
REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> March, 2021**

I, have examined all Securities Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **DCM SHRIRAM INDUSTRIES LIMITED** maintained by **KFIN TECHNOLOGIES PRIVATE LIMITED, (R&T) Agent** pertaining to transfer of Equity Shares of the Company for the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the RTA, hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2021:

- A. Share Certificate relating to the Securities Transfer Forms received during the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers/ has been issued within Thirty days from respective date of lodgment of transfer, sub-division, consolidated, renewal, exchange or endorsement of all calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Share Certificates in respect of requests for issue of duplicate have been issued within stipulated time.

**FOR UPENDER JAJOO & ASSOCIATES**

**Practicing Company Secretary**

**UPENDER** Digitally signed by  
UPENDER JAJOO

**JAJOO** Date: 2021.04.12  
10:57:42 +05'30'

**Upendar Jajoo**

**Membership No. : F10155**

**C.P No 14336**

**UDIN: F010155C000054661**

**Place: New Delhi**

**Date: 12<sup>th</sup> April, 2021**