

# **RAJENDRA V. GOGRI**

Residence: 2402 Richmond, Cliff Avenue, Near Forest Club Hiranandani  
Gardens, Powai, Mumbai - 400076

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**October 27, 2020**

To,  
Listing/Compliance Department  
**BSE LTD.**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001.

To,  
Listing/Compliance Department  
**National Stock Exchange of  
India Limited**  
“Exchange Plaza”, Plot No. C/1,  
G Block Bandra-Kurla Complex,  
Bandra (E), Mumbai – 400 051.

**BSE CODE – 543210**

**NSE CODE: AARTISURF**

Dear Sir/Madam,

**Sub.: Disclosure of Shareholdings pursuant to Regulation 29(2) of SEBI  
(SAST) Regulations, 2011.**

I am sending herewith the Disclosure of Shareholding under Regulation 29(2) of SEBI (SAST) Regulations, 2011 as on October 27, 2020.

Please take the above on your record.

Thanking You,

Yours Faithfully,

**RAJENDRA V. GOGRI**

Encl.: as above.

**CC to:**

The Compliance Officer  
Aarti Surfactants Limited  
Secretarial Department,  
Udyog Kshetra, 2<sup>nd</sup> Floor,  
Mulund-Goregaon Link Road,  
Mulund (W), Mumbai-400 080.

**ANNEXURE – 2**

**Format for disclosures under Regulation 29(2) of SEBI  
(Substantial Acquisition of Shares and Takeovers) Regulations, 2011.**

1 Name of the Target Company (TC)	<b>AARTI SURFACTANTS LIMITED</b>
2 Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	<b>RAJENDRA VALLABHAJI GOGRI &amp; OTHER PROMOTERS/ PROMOTER GROUP (AS PER LIST ATTACHED)</b>
3 Whether the acquirer belongs to Promoter/Promoter group	PROMOTER
4 Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Limited & National Stock Exchange of India Limited

5 <b>Details of the acquisition / disposal as follows</b>	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
<b><u>Before the acquisition under consideration, holding of :</u></b>			
a) Shares carrying voting rights			
<b>Rajendra V. Gogri</b>	155,489	2.05	2.05
<b>Other Promoters / Promoter Group (List attached)</b>	3,535,328	46.61	46.61
<b>SUB TOTAL (a) :-</b>	<b>3,690,817</b>	<b>48.66</b>	<b>48.66</b>
b) Shares in the nature of encumbrance (pledge/ lien/non-disposal undertaking/ others)	0	0.00	0.00
c) Voting rights (VR) otherwise than by shares	0	0.00	0.00
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)	0	0.00	0.00
<b>e) Total (a+b+c+d)</b>	<b>3,690,817</b>	<b>48.66</b>	<b>48.66</b>
<b><u>Details of acquisition / sale</u></b>			
a) i) Shares carrying voting rights sold			
<b>Rajendra V. Gogri</b>	-155,489	-2.05	-2.05
Sale of Shares Other Promoters / Promoter Group (List attached)	-545,101	-7.19	-7.19
ii) Shares carrying voting rights Purchased			
<b>Rajendra V. Gogri</b>	0	0.00	0.00
Purchase of Shares Other Promoters / Promoter Group	701,760	9.25	9.25
<b>SUB TOTAL (a) :-</b>	<b>1,170</b>	<b>0.02</b>	<b>0.02</b>
b) VRs acquired/sold otherwise than by shares	0	0.00	0.00
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	0	0.00	0.00
d) Shares encumbered/invoked/released by the acquirer	0	0.00	0.00
<b>e) Total (a+b+c+/-d)</b>	<b>1,170</b>	<b>0.02</b>	<b>0.02</b>
<b><u>After the acquisition/sale, holding of:</u></b>			
a) Shares carrying voting rights			
<b>Rajendra V. Gogri</b>	0	0.00	0.00
<b>Other Promoters / Promoter Group (List attached)</b>	3,691,987	48.68	48.68
<b>SUB TOTAL (a) :-</b>	<b>3,691,987</b>	<b>48.68</b>	<b>48.68</b>

b) Shares encumbered with the acquirer c) VRs otherwise than by shares d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	0 0 0	0.00 0.00 0.00	0.00 0.00 0.00
<b>e) Total (a+b+c+d)</b>	<b>3,691,987</b>	<b>48.68</b>	<b>48.68</b>
6 Mode of acquisition/sale (e.g. open market/off-market/public issue/rights issue/preferential allotment/inter-se Transfer etc)	As per the attached sheet		
7 Date of acquisition/sale of shares/VR or date of receipt of intimation of allotment of shares, whichever is applicable	As per the attached sheet		
8 Equity share capital/total voting capital of the TC before the said acquisition / sale	7584477		
9 Equity share capital/total voting capital of the TC after the said acquisition / sale	7584477		
10 Total diluted share/voting capital of the TC after the said acquisition	7584477		
(*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Regulation 31(1)(b) of the listing Regulations.			
(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.			
<b>For and on behalf of all the Promoter &amp; Promoters Group</b>			
<b>Rajendra V. Gogri</b> <b>PLACE : MUMBAI</b> <b>DATE : 27.10.2020</b>			

**Annexure to Disclosures under Regulation 29(2) of SEBI  
(Substantial Acquisition of Shares and Takeovers) Regulations, 2011.**

Declaration given by : **RAJENDRA VALLABHAJI GOGRI**  
Name of the Company : **AARTI SURFACTANTS LIMITED**

Sr. No.	Name of the Shareholder	Shareholding i.e. 10.08.2020			No. of Shares Acquired / Sold		Shareholding after Acquisition / Sale on 27.10.2020		
		No. of Shares	% w.r.t. total share/voting capital wherever applicable	% w.r.t. total diluted share/voting capital wherever applicable	Sold	Purchase	No. of Shares	% w.r.t. total share/votin g capital wherever applicable	% w.r.t. total diluted share/voting capital wherever applicable *
<b>A</b>	<b>Acquirer</b>								
1	Rajendra Vallabhaji Gogri	155,489	2.05	2.05	155,489	0	0	0.00	0.00
<b>B</b>	<b>Other Promoters / Promoter Group</b>								
1	Nikhil Parimal Desai	40,333	0.53	0.53	0	0	40,333	0.53	0.53
2	Jaya Chandrakant Gogri	1,330,322	17.54	17.54		275386	1,605,708	21.17	21.17
3	Rajendra Vallabhaji Gogri (HUF)	30,827	0.41	0.41	30,827		0	0.00	0.00
4	Late Shantilal Tejshi Shah	0	0.00	0.00	0	0	0	0.00	0.00
5	Chandrakant Vallabhaji Gogri	185,302	2.44	2.44	0	0	185,302	2.44	2.44
6	Rashesh Chandrakant Gogri	383,438	5.06	5.06	0	0	383,438	5.06	5.06
7	Hetal Gogri Gala	261,553	3.45	3.45	0	0	261,553	3.45	3.45
8	Arti Rajendra Gogri	53,425	0.70	0.70	53,425	0	0	0.00	0.00
9	Renil Rajendra Gogri	0	0.00	0.00	0	0	0	0.00	0.00
10	Mirik Rajendra Gogri	0	0.00	0.00	0	0	0	0.00	0.00
11	Pooja Renil Gogri	38	0.00	0.00	0	0	38	0.00	0.00
12	Manisha Rashesh Gogri	55,000	0.73	0.73	0	0	55,000	0.73	0.73
13	Aarnav Rashesh Gogri	54,999	0.73	0.73	0	0	54,999	0.73	0.73
14	Aashay Rashesh Gogri	54,999	0.73	0.73	0	0	54,999	0.73	0.73
15	Late Dhanvanti Vallabhji Gogri	8,984	0.12	0.12	8,984	0	0	0.00	0.00
16	Indira Madan Dedhia	17,380	0.23	0.23	0	0	17,380	0.23	0.23
17	Bhanu Pradip Savla	13,072	0.17	0.17	0	0	13,072	0.17	0.17
18	Anushakti Enterprise Private Limited	249,250	3.29	3.29	0	0	249,250	3.29	3.29
19	Safechem Enterprises Private Limited	146,300	1.93	1.93	146,300	0	0	0.00	0.00
20	Alchemie Financial Services Limited	67,300	0.89	0.89	0	0	67,300	0.89	0.89
21	Gogri Finserv Pvt. Ltd.	26,410	0.35	0.35	0	0	26,410	0.35	0.35
22	Alchemie Finserv Pvt. Ltd.	26,410	0.35	0.35	26,410	0	0	0.00	0.00
23	Dilesh Roadlines Pvt Ltd	831	0.01	0.01	0	0	831	0.01	0.01
24	Labdhi Business Trust	250,000	3.30	3.30	0	0	250,000	3.30	3.30
25	Tulip Family Trust	139,473	1.84	1.84	139,473	0	0	0.00	0.00
26	Orchid Family Trust	139,682	1.84	1.84	139,682	0	0	0.00	0.00
27	Nikhil Holdings Private Limited	0	0.00	0.00	0	426374	426,374	5.62	5.62
28	Sarla Shantilal Shah	0	0.00	0.00	0	0	0	0.00	0.00

Sr. No.	Name of the Shareholder	Shareholding i.e. 10.08.2020			No. of Shares Acquired / Sold		Shareholding after Acquisition / Sale on 27.10.2020		
		No. of Shares	% w.r.t. total share/voting capital wherever applicable	% w.r.t. total diluted share/voting capital wherever applicable	Sold	Purchase	No. of Shares	% w.r.t. total share/votin g capital wherever applicable	% w.r.t. total diluted share/voting capital wherever applicable *
29	Nehal Garewal	0	0.00	0.00	0	0	0	0.00	0.00
30	Bhavna Shah Lalka	0	0.00	0.00	0	0	0	0.00	0.00
31	Ratanben Premji Gogri	0	0.00	0.00	0	0	0	0.00	0.00
32	Shantilal Tejshi Shah (H.U.F)	0	0.00	0.00	0	0	0	0.00	0.00
33	Heena Bhatia	0	0.00	0.00	0	0	0	0.00	0.00
34	Parimal Hasmukhlal Desai	0	0.00	0.00	0	0	0	0.00	0.00
35	Desai Tarla Parimal	0	0.00	0.00	0	0	0	0.00	0.00
36	Gunvanti Navin Shah	0	0.00	0.00	0	0	0	0.00	0.00
37	Prasadi Yogesh Banatwala	0	0.00	0.00	0	0	0	0.00	0.00
38	Dilip Tejshi Dedhia	0	0.00	0.00	0	0	0	0.00	0.00
39	Heena Family Private Trust	0	0.00	0.00	0	0	0	0.00	0.00
40	Valiant Organics Limited	0	0.00	0.00	0	0	0	0.00	0.00
<b>Total -----&gt; (B)</b>		<b>3,535,328</b>	<b>46.61</b>	<b>48.66</b>	<b>545,101</b>	<b>701,760</b>	<b>3,691,987</b>	<b>48.68</b>	<b>48.68</b>
<b>TOTAL -----&gt; (A) + (B)</b>		<b>3,690,817</b>	<b>48.66</b>	<b>48.66</b>	<b>700,590</b>	<b>701,760</b>	<b>3,691,987</b>	<b>48.68</b>	<b>48.68</b>

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Regulation 31 of the Listing Regulations

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

**Rajendra V, Gogri**  
**For and on behalf of all the Promoter & Promoters Group**  
**PLACE : MUMBAI**  
**DATE : 27.10.2020**

<b>NAME OF THE TARGET COMPANY :- AARTI SURFACTANTS LIMITED</b>			
<b>Name of the Shareholder</b>	<b>Nature of Transaction</b>	<b>Date of Transaction</b>	<b>Agregate No- of Equity Shares Sold/Purchase</b>
Rajendra Vallabhaji Gogri	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	37,060
	Shares sold through Share Purchase Agreement that triggered open offer	27-10-20	118,429
Jaya Chandrakant Gogri	Transmission	20-08-20	8,984
	Shares acquired in Open Offer	23-10-20	251
	Shares acquired through Share Purchase Agreement that triggered open offer	26-10-20	147,722
	Shares acquired through Share Purchase Agreement that triggered open offer	27-10-20	118,429
Rajendra Vallabhaji Gogri (HUF)	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	30,827
Aarti Rajendra Gogri	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	53,425
Safechem Enterprises Private Limited	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	146,300
Alchemie Finserv Pvt. Ltd.	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	26,410
Tulip Family Trust	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	139,473
Orchid Family Trust	Shares sold through Share Purchase Agreement that triggered open offer	26-10-20	139,682
Nikhil Holdings Private Limited	Shares acquired in Open Offer	23-10-20	919
	Shares acquired through Share Purchase Agreement that triggered open offer	26-10-20	425,455
Late Dhanvanti Vallabhji Gogri	Transmission	20-08-20	8,984
<b>For and on behalf of all the Promoters &amp; Promoter Group</b>			
<b>Rajendra V. Gogri</b>			