

October 30, 2020

The General Manager
BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400 001

The Manager
National Stock Exchange of India Limited
Listing Department
Exchange Plaza
5th Floor, Plot No. C-1, Block-G
Bandra-Kurla Complex, Bandra(E)
Mumbai-400 051

BSE Scrip Code: 532281

NSE Scrip Code: HCLTECH

Sub: Compliance certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

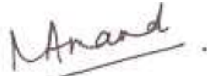
Dear Sir,

Enclosed herewith please find the certified true copy of the Compliance certificate from a Practicing Company Secretary in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from April 1, 2020 to September 30, 2020.

This is for your information and records.

Thanking you,

Yours faithfully,
For **HCL Technologies Limited**



Manish Anand
Company Secretary

TO WHOMSOEVER IT MAY CONCERN

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATIONS 40(9)
OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR
ENDED 30th SEPTEMBER, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to Equity Shares of **HCL TECHNOLOGIES LIMITED** maintained by **LINK INTIME INDIA PVT LTD**, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) The Company has delivered wherever required during half year ended on 30th September, 2020 share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re lodged thereafter, received during the period from 01st April, 2020 to 30th September, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment.
- B) The Company has delivered wherever required during half year ended on 30th September, 2020 share certificates in respect of requests relating to transmission of securities, change/deletion of name, transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies and renewal received during the period from 01st April 2020 to 30th September, 2020, within thirty days, from the respective date of lodgment.



C) However in some cases request received for issuing Duplicate shares certificates have not been processed during the period under review i.e. 01st April 2020 to 30th September, 2020 due to various constraints posed by COVID-19 related pandemic for which relaxation has been granted by SEBI vide its circular number SEBI/HO/MIRSD/RTAMB/CIR/P/2020/59 dated 13th April 2020.

**For NITYANAND SINGH & Co.
COMPANY SECRETARIES**

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**Date: 30th October, 2020
Place: New Delhi**

**NITYANAND SINGH
C. P. No. 2388
UDIN: F002668B001108036**