

KEN FINANCIAL SERVICES LIMITED

F-3, First Floor, Shah Arcade 1, Shah Arcade SRA CHSL, Rani Sati Marg, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN- L65990MH1994PLC078898)

Date: 21st October, 2020

To,
BSE Limited
Department of Corporate Service,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001.

Ref: Script Code - 530547

Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020.

Kindly take the same on your record.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited


Sumit Gupta
DIN: 06938413
Director



Encl: as above

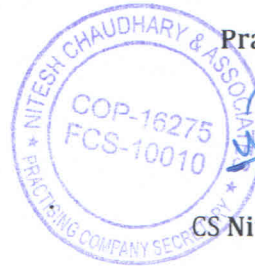


**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF-YEAR ENDED 30TH SEPTEMBER, 2020

- (a) I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s KEN FINANCIAL SERVICES LIMITED CIN-L65990MH1994PLC078898** maintained by M/s Purva Sharegistry India Pvt. Ltd. (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from **01st April, 2020 to 30th September, 2020** for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on **30th September, 2020**:
- A. The Company has not received any request for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from **01st April, 2020 to 30th September, 2020**.
- B. No Share Certificates have been issued in respect of duplicates and split certificates as there is no such request received by the Company.

For Nitesh Chaudhary & Associates



Practicing Company Secretary

CS Nitesh Chaudhary, Proprietor

FCS. NO.10010
CP NO. 16275

Date: 20/10/2020
Place: Mumbai

UDIN: F010010B001011857