

YBL/CS/2024-25/37

May 22, 2024

National Stock Exchange of India Limited

Exchange Plaza, Plot no. C/1, G Block, Bandra - Kurla Complex, Bandra (E) Mumbai - 400 051

Tel.: 2659 8235/36 8458 **NSE Symbol: YESBANK**

Dear Sir/Madam,

BSE Limited

Corporate Relations Department P.J. Towers, Dalal Street Mumbai – 400 001 Tel.: 2272 8013/15/58/8307

BSE Scrip Code: 532648

<u>Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

This is to inform you that the Bank has received a communication on May 21, 2024, from Reserve Bank of India (RBI) stating that RBI had imposed a monetary penalty of Rs. 5,000/- on the Bank on account of deficiencies observed during incognito visit by RBI in one of the Bank branches.

This penalty has been imposed in accordance with Master Direction DCM (CC) No.G-3/03.44.01/2023-24 dated April 03, 2023 on "Scheme of Penalties for bank branches and Currency Chests for deficiency in rendering customer service to the members of public".

Information as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III and the SEBI Circular No. SEBI/HO/CFD/CFD-PoD1/P/CIR/2023/123 dated July 13, 2023, is enclosed as *Annexure A*.

We request you to take the above on record and disseminate the same on your website.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

Shivanand R. Shettigar Company Secretary

Encl: Annexure A



Annexure A

Sr. No.	Details of Events that need to be provided	Details / Information of such events(s)
1	Name of the authority	Issue Department, Reserve Bank of India
2	Nature and details of the action(s) taken, initiated or order(s) passed	Deficiencies observed during incognito visit by RBI in one of the Bank branches
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Communication received on May 21, 2024 from Reserve Bank of India
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Non-compliance with RBI requirement - 'Branch officials not aware of rules to exchange mutilated notes'
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 5,000/- (Rupees Five Thousand only)