



# DHOOT INDUSTRIAL FINANCE LIMITED

CIN: L51900MH1978PLC020725

Tel.: 22845050, 22835152 Fax: 22871155

www.dhootfinance.com

26<sup>th</sup> April, 2024

To

**Corporate Relationship Department,  
BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort  
Mumbai – 400 001

**Scrip Code – 526971**

**Scrip ID – DHOOTIN**

**Kind Attn: Corporate Relationship Dept.**

**Sub.: In Compliance of Regulation 40(9) & 40(10) of the SEBI (Listing Regulations and Disclosure Requirements) Regulations, 2015.**

Dear Sir/Madam,

Pursuant to regulation 40(9) & 40(10) of the SEBI (Listing Regulations and Disclosure Requirements) Regulations, 2015, please find enclosed, Certificate from Practicing Company Secretaries M/s. Shah Patel & Associates, certifying the compliance of the regulation, for the financial year ended 31<sup>st</sup> March, 2024.

Kindly take the above on record and oblige.

Thanking You,

Yours Faithfully,

**FOR DHOOT INDUSTRIAL FINANCE LIMITED**

Sneha Shah  
Company Secretary & Compliance Officer  
Membership No. A28734  
Place: Mumbai

Encl: a/a

# SHAH PATEL & ASSOCIATES

PRACTISING COMPANY SECRETARIES

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TO WHOMSOEVER IT MAY CONCERN

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**For The Financial Year Ended 31<sup>st</sup> March, 2024**

I have examined relevant records for the period April 1, 2023 to March 31, 2024 (“**Audit Period**”); maintained by **M/S. Link Intime India Private Limited.**, Registrar and Share Transfer Agents (“**R & T Agent**”) of **Dhoot Industrial Finance Limited** (“**the Company**”) with regard to its listed securities (“**securities**”).

Based on my examination, explanations given to me and in pursuance of requirement of sub-clause (9) and (11) of Regulation 40 in Chapter IV of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 read with SEBI Notification dated May 5, 2021 and January 24, 2022 and SEBI Circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022; I hereby certify that during the Audit Period; the R & T Agent has issued all certificates/ letter of confirmation as per SEBI’s operational guidelines, as the case may be, for:

- a) sub-division; consolidation, renewal, exchange or endorsement of calls/allotment monies;
- b) deletion of name of the deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities;
- c) transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities;
- d) transposition of securities, when there is a change in the order of names in which physical securities are held jointly in the names of two or more holders of securities.

In pursuance of press release number 12/2019 dated March 27, 2019 of Securities and Exchange Board of India, the Company has not approved any transfer of securities in physical mode during the Audit period.

**Date:** 26-04-2024

For **SHAH PATEL & ASSOCIATES**

**Place:** Mumbai

**Isha Deepak Shah**

**Partner**

**Membership No:** 35253

**C.O.P No:** 15201

**UDIN:** A035253F000248941

*198/A, Jaya Niwas, Ground Floor, Block-1, Gujarat Society, Sion West, Mumbai 400022*

*Contact: 9870670676/9769086522 Email: [cs@spassociates.co](mailto:cs@spassociates.co)*

*Website: [www.spassociates.co](http://www.spassociates.co)*