



Upsurge Investment & Finance Ltd.

Date: 11th April, 2024

To,
The General Manager,
Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Sub: Compliance Certificate for the year ended March 31, 2024 as per Regulation 7(3) of the SEBI (LODR) Regulations, 2015

Ref.: Company Name: Upsurge Investment & Finance Limited (Script Code No. 531390)

Dear Sir,

As per Regulation 7(3) of the SEBI (Listing Obligation Disclosure Requirement) Regulations, 2015 please find enclosed herewith a Compliance Certificate duly signed by an authorized person of Adroit Corporate Services Private Limited and Compliance Officer of the Company for the period beginning from 1st April 2023 to 31st March, 2024.

Kindly take the same in your records.

Thanking you
Yours faithfully,

For Upsurge Investment & Finance Limited

DAYAKRISHNA GOYAL Digitally signed by
DAYAKRISHNA GOYAL
Date: 2024.04.11
16:10:39 +05'30'

Dayakrishna Goyal
(Managing Director)
DIN No. 00398539
Date: 11th April, 2024
Place: Mumbai

Encl. a/a



Upsurge Investment & Finance Ltd.

April 01, 2024

To

BSE LIMITED

CORPORATE RELATION DEPARTMENT

P J TOWERS,

DALAL STREET,

MUMBAI 400001

Dear Sir/Madam,

Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

ISIN: INE890B01014

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period April 01, 2023 to March 31, 2024 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Adroit Corporate Services Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000002227.

We request you to kindly take note of the above in your records.

Thanking you,

For UPSURGE INVESTMENT & FINANCE LIMITED



Director/Compliance Officer/Co.Secretary

For ADROIT CORPORATE SERVICES PRIVATE LTD



MR.SANDEEP SHINDE

MANAGER