



Paragon Finance Limited

CIN - L65921WB1986PLC040980

402, SIKKIM HOUSE, 4/1, Middleton Street, Kolkata - 700 071

Phone : 033 4061 2288, 9331116100

E-mail : paragoncal@gmail.com

Website : www.paragonfinanceltd.com

Dated: 28th October, 2020

To,
The Secretary
Corporate Relationship Department
BSE Limited
Phiroze Jeejebhoy Towers
Dalal Street, Fort
Mumbai - 400001

Dear Sir/Madam,

Ref: Security Code: 531255

Sub: Submission of Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020

Please find the attached Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

This is for your information and records.

Thanking You.

Yours faithfully,

FOR PARAGON FINANCE LIMITED

SWATI SHARMA

Company Secretary



**Certificate Under Regulation 40(9) of SEBI (Listing Obligations and
Disclosures Requirements), 2015**

For The Half Year Ended 30th September, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Paragon Finance Limited** having its registered office at **4/1, Middleton Street, Sikkim House, 4th Floor, Kolkata-700 071** maintained by **M/s. Niche Technologies Private Limited** the Registrar and Share Transfer Agent of the Company for the purpose of issuing a certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements), 2015 entered into by **M/s. Paragon Finance Limited** with Stock Exchange and based on the information provided by the Company, hereby certify that during half-year ended on 30th September, 2020:

- A. The Company has not received any request for physical transfers and transmission of shares during the period from 1st April, 2020 to 30th September, 2020.
- B. There was no request received by the Company for subdivision, consolidation, split certificate, duplicate issue, renewal, remat and exchange during the period was received during the relevant period.

The Company has not issued any debenture during the period hence the reporting of the same is not applicable

For AL & ASSOCIATES

Priti Lakhota
Partner

Place: Kolkata
Date: 28/10/2020

PRITI LAKHOTIA
Practicing Company Secretary
Membership No: 21970
C.P. No.: 12790
UDIN: A21970B001081864