



Pharmaceuticals Limited

**Registered & Corporate Office :**

Plot No. 72, H. No. 8-2-334/3 & 4, Road No. 5,

Opp. SBI Executive Enclave, Banjara Hills,

Hyderabad - 500 034, Telangana, INDIA.

Tel : +91-40-2525 9999, Fax : +91-40-2525 9889

CIN : L24239TG1987PLC008066

Email: info@smspharma.com, www.smspharma.com

Date: 20<sup>th</sup> April, 2024

To,  
The Manager,  
Listing Compliance Department  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400 001

The Manager,  
Listing Compliance Department,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai - 400 051.

**Security Code:532815**

**Symbol: SMSPHARMA**

Dear Sir/Madam,

**Sub: Compliance Certificate under Regulation 40(9)&(10) of SEBI (LODR) Regulations, 2015, for the year ended on 31<sup>st</sup> March, 2024**

Pursuant to the Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are submitting herewith a Compliance certificate issued by Mr. C. Sudhir Babu, practicing company secretary for the year ended 31<sup>st</sup> March, 2024.

Please take the same on your records.

Yours Faithfully,

**For SMS Pharmaceuticals Limited**

**Thirumalesh Tumma**  
**Company Secretary**

Encl: as above



**CSB Associates**

Company Secretaries

# 307, Babukhan Estate,  
Basheerbagh, Hyderabad - 500001.  
Mobile: +91 7981191458  
+91 9493676368  
E-mail: csbassociates27@gmail.com

**COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS), REGULATIONS, 2015  
FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2024**

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. **SMS Pharmaceuticals Limited** maintained by M/s. **Aarthi Consultants Private Limited** pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company, I hereby certify that during the financial year ended on 31<sup>st</sup> March, 2024:

- A) Share Certificate(s) relating to Ninety-Seven (97) Share transfers for Two Thousand Seven Hundred Twenty (2720) Shares during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been transferred to IEPF Authority on 24<sup>th</sup> February, 2024.
- B) There were no share transmission requests received during the period.
- C) There were no requests received for sub-division, consolidation, renewal, exchange, or endorsement of calls/ allotment monies during the aforesaid period.

Place: Hyderabad  
Date: 19-04-2024



**For CSB Associates  
Company Secretaries**

**C. Sudhir Babu**

**Proprietor**

FCS No. 2724, C.P. No: 7666.

UDIN: F002724F000190824