

Ref No: 06/SE/CS/APR/2024-25

Date: April 05, 2024

To,

Listing Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001	Listing & Compliance Department National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, “G” Block Bandra-Kurla Complex Bandra (E), Mumbai – 400 051
BSE Scrip Code: 544020	NSE Symbol: ESAFSFB

Dear Sir(s),

Subject: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.

We hereby confirm that all activities in relation to both physical and electronic share transfer facility are maintained by our Registrar and Share Transfer Agent i.e., M/s. Link Intime India Private Limited.

Pursuant to the captioned regulation, we hereby enclose the certificate signed by the Company Secretary and Compliance Officer of the Bank and authorized representative of M/s. Link Intime India Private Limited, the Registrar and Share Transfer Agent of the Bank.

Kindly take the same into records.

Thanking you,

Yours faithfully,

For ESAF Small Finance Bank Limited

Ranjith Raj P
Company Secretary and Compliance Officer

April 5, 2024

BSE Limited
Corporate Relationship Department
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort,
Mumbai – 400 001

National Stock Exchange of India
Exchange Plaza
Bandra Kurla Complex
Bandra (E)
Mumbai 400 051

Dear Madam/Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirement of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Pvt Ltd a Category-I, Registrar & Share Transfer Agent (RTA), registered with the Securities and Exchange Board of India (SEBI) vide Registration Number: INR000004058 during the period April 1, 2023 to March 31, 2024 (both days inclusive).


Thanking you,

Yours faithfully,

For ESAF Small Finance Bank Limited

For Link Intime India Pvt. Ltd


Name: Ranjith Raj P
Designation: Company Secretary and Compliance Officer


Ashok Shetty
Vice President – Corporate Registry