

Date: 26/10/2019

To,
The Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400 001

Dear Sir,

Subject: Certificate of Compliance pursuant to sub regulation (9) of Regulation 40 of SEBI (LODR) Regulation 2015 for the quarter and half year ended 30th September, 2019.

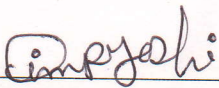
Please find enclosed herewith Compliance Certificate pursuant to sub regulation (9) of Regulation 40 of SEBI (LODR) Regulation 2015 for the quarter and half year ended 30.09.2019 issued by practicing Company Secretaries of the Company.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,

For Nishtha Finance and Investment (India) Limited



Ms. Dimpy Joshi
Company Secretary and Compliance Officer



Encl: as above





VIRAL RANPURA
COMPANY SECRETARY

A 31, Saiyam Coop Housing Soc Ltd, Nehrunagar, Ahmedabad -380015

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(E) csviralranpura@gmail.com

Certificate under Regulation 40(9) of the SEBI (LODR) Regulations 2015 for the half year ended on 30th September 2019

I have examined all Share Transfer Deeds, Memorandum of Transfer, Registered, Files and other documents relating M/s Nishtha Finance and Investment (India) Limited maintained by M/s Skyline Financial Services Pvt. Ltd., being Registrar and Share Transfer Agent of the Company, pertaining to transfer of Equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing Certificate as per Regulation 40(9) of the SEBI (LODR) Regulation, 2015 and based on information and explanation provided by the management of the company we hereby Certify that:

1.a) The Company delivered during half year ended on 30th September, 2019 share certificates relating to transfer of shares received during the period from 01st April, 2019 to 30th September, 2019, within thirty days, as entered in the memorandum of transfers, from respective date of lodgment of each deed excepting those rejected on technical ground.

1.b) The Company has not received any request relating to sub-division (split), consolidation, renewal, and exchange, etc during the period from 01st April, 2019 to 30th September, 2019 as per records made available to us.

Date: 24.10.2019

Place: Ahmedabad

UDIN: A028496A000165094



Viral Ranpura

Viral Ranpura

**Practicing Company Secretary
(ACS 28496) (COP 10361)**