



Refer : MSL/BSE/

26 October, 2020

BSE Limited  
25th Floor,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001  
Scrip Code: 523371

National Stock Exchange of India Ltd  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (E),  
Mumbai 400 051  
Scrip Code: MAWANASUG

**Sub : Submission of Certificate under Regulation 40 of SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015**

Dear Sir,

As required under Regulation 40(10) of SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015, we are submitting herewith a Certificate obtained from M/s Nirbhay Kumar & Associates, the Practicing Company Secretaries for the half year ended 30.09.2020.

We trust you will find the above in order.

Thanking You,

Yours faithfully,

**For Mawana Sugars Limited**

**(ASHOK KUMAR SHUKLA)  
COMPLIANCE OFFICER**

Encl : a/a

**MAWANA SUGARS LIMITED**

CIN : L74100DL1961PLC003413

**Corporate Office:**

Plot No. 03, Institutional Area  
Sector-32, Gurugram-122 001 (India)  
T 91-124-4298000 F 91-124-4298300

**Registered Office:**

5th Floor, Kirti Mahal, 19, Rajendra Place  
New Delhi-110025 (India)  
T 91-11-25739103 F 91-11-25743659

E corporate@mawanasugars.com  
www.mawanasugars.com





**NIRBHAY KUMAR & ASSOCIATE**  
**Company Secretaries**

**J - 22, Flat No. 4, Rama Park Road, Mohan Garden, Uttam Nagar, New Delhi - 59**  
**E-mail - [nirbhaykumar77@gmail.com](mailto:nirbhaykumar77@gmail.com) Mobile - 9868717020**

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURES REQUIREMENTS) REGULATIONS 2015**

**FOR THE HALF YEAR ENDED ON 30th SEPTEMBER 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **(CIN- L74100DL1961PLC003413)** maintained by RTA M/s Mas Services Limited pertaining to transfer of equity shares of the company for the period from **MAWANA SUGARS LIMITED 01<sup>st</sup> April 2020 to 30th September 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing obligations and Disclosures Requirements) Regulation 2015 and based on the information provided by the Company we certify that;

**A) 1.a.** The Company has delivered during half year ended on **30th September 2020** Share Certificate relating to the transfer of shares received during the period from **01<sup>st</sup> April 2020 to 30th September 2020**, within 15 days, as entered in the Memorandum of Transfers, from the respective date of lodgment of each deed excepting those rejected on technical grounds.

**1. b.** as per the records made available to us there were no request relating to exchange or endorsement of calls neither allotment monies, consolidation or renewal of share certificates received during the period from **01<sup>st</sup> April 2020 to 30th September 2020** however there were request for issue of Duplicate share certificates during the period from **01<sup>st</sup> April 2020 to 30th September 2020** the same have been addressed properly.

**B)** Any other information if applicable – Nil.

**For Nirbhay Kumar & Associates**

**Nirbhay Kumar**  
**Practicing Company Secretary**

**C.P. - 7887**  
**M. No. - 21093**

**Place - Delhi**  
**Date - 26.10.2020**

**UDIN number A021093B001056512**