

Ref.: CV/BB/40(9)/31032021

**April 14, 2021**

The General Manager  
Department of Corporate Services  
BSE Limited  
P. J. Towers,  
Dalal Street,  
Mumbai – 400001.  
**Scrip Code: 524075**

The Manager  
Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C-1, G Block,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400051.  
**Symbol: ALBERTDAVD**

Dear Sir / Madam,

**Sub: Compliance certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period October 1, 2020 to March 31, 2021.**

In terms of Regulation 40(9) & 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing the certificate of compliance dated April 13, 2021 issued by Mr. Ashok Kumar Daga, Practicing Company Secretary, for the period October 1, 2020 to March 31, 2021.

Please take the same on record.

Thanking you,

Yours faithfully,  
For **Albert David Limited**



**Chirag A. Vora**  
Company Secretary & Compliance Officer

Place: Mumbai

Encl.: A/a.



**Ashok Kumar Daga**  
B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD FROM 1<sup>ST</sup> OCTOBER, 2020 TO 31<sup>ST</sup> MARCH, 2021.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALBERT DAVID LTD** maintained at the office of its Registrar and Share Transfer Agent (RTA) **MAHESHWARI DATAMATICS PVT LTD** of 23 R.N. Mukherjee Road, 5th Floor Kolkata-700001, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and other recognized Stock Exchanges and based on the information provided by the Company and the RTA, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2021:

A) Share Certificate(s) relating to the transfer of shares received during the period:

i) From **1st October, 2020 to 31<sup>st</sup> March, 2021** as per the records made available to us the Company has not received any Shares for transfer. However, the Company has received 1 request for transmission of 200 shares which was processed during the stipulated time.

B) As per the records made available to us there were no requests relating to issue of duplicate/split up, exchange or endorsement of calls/allotment monies received during the said period.

ASHOK  
KUMAR  
DAGA

Digitally signed by  
ASHOK KUMAR  
DAGA  
Date: 2021.04.13  
13:15:44 +05'30'

(Ashok Kumar Daga)  
Company Secretary in Practice  
Membership No. FCS -2699  
CP No. 2948

Place: Kolkata  
Date: 13.04.2021

**UDIN NO. F002699C000074641**