

CSFB.2024-25/ 17

April 12, 2024

BSE Limited
Listing Compliance
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
Maharashtra

National Stock Exchange of India Limited
The Listing Department,
Exchange Plaza,
Bandra Kurla Complex,
Mumbai - 400 051
Maharashtra

Scrip Code: 544120, 951995 & 953739

Symbol: CAPITALSFB

Subject: Certificate under Regulation 40 (9) read with Regulation 61 (4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Respected Sir/Madam,

Pursuant to Regulation 40 (10) and all other applicable provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('LODR Regulations'), please find enclosed herewith certificate from B K SHARMA & ASSOCIATES, Company Secretaries (CP No. 12636) as per the requirement of Regulation 40 (9) read with Regulation 61 of the LODR Regulations.

You are requested to take the above information on your record under the applicable provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For Capital Small Finance Bank Limited

Amit Sharma
Company Secretary & Compliance Officer
Membership no. F10888

Encl: as above



CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024

We have examined all relevant books and records of M/s. **CAPITAL SMALL FINANCE BANK LIMITED** having its Registered Office at MIDAS Corporate Park, 3rd Floor - 37, G.T. Road, Jalandhar (Punjab) produced before us for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulation, 2015 with the BSE Limited & National Stock Exchange of India Limited and based on our such examination as well as information and explanations furnished to us by the Company and by the Registrar & Transfer Agent M/S. Link Intime India Private Limited, which to the best of our knowledge and belief were necessary for the purpose of our certification, we do hereby certify that in our opinion and according to the best of information and belief the company has in relation to the Financial Year ended 31.03.2024 delivered all certificates to the members of the Company within the period stipulated under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 from the date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies with the Company.

For B K SHARMA & ASSOCIATES
Company Secretaries

BRIJ KISHORE SHARMA
(Proprietor)

FCS: 6206; CP: 12636

Peer Review Certificate No.: 1172/2021

UDIN: F006206F000084130

Date: 10.04.2024

Place: Jaipur