



SMIFS CAPITAL MARKETS LIMITED

11 February, 2021

1. The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
2. The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata – 700 001

Dear Sirs,

Sub: Disclosure of Shareholding in terms of Regulations 29(1) of SEBI (Acquisition of Shares & Takeovers) Regulations, 2011

Enclosed please find the disclosure of Shareholding in terms of Regulation 29(1) of SEBI (Acquisition of Shares & Takeover) Regulations, 2011 received from Minal B. Patel.

Please acknowledge.

Yours faithfully,

For SMIFS Capital Markets Limited

(Poonam Bhatia)
Company Secretary-cum Senior Manager Legal



Encl: a/a



smifs capital <cs.smifs@gmail.com>

DISCLOSURE IN SCRIP SMIFS CAPITAL MARKETS LTD

BHARAT PATEL (BACKOFFICE) <bharatjpatel763@gmail.com>
To: ponni27@gmail.com, cs.smifs@gmail.com, smifscap@vsnl.com

Thu, Feb 11, 2021 at 3:13 PM

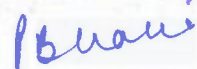
Dear Sir / Madam,

We submit herewith attached scanned copy of disclosure under SEBI Regulation.
We request you to update your records and comply.

Regards,
Hardik B. Patel
Tel: 022-40002626, 9892205598

 **SMIFS_COMPANY.pdf**
491K

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED


(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Minal B. Patel

602, Boston House, 6th Floor, Next to Cine Max, Suren Road, Andheri (East),
Mumbai - 400 093. Tel.: 022-40002600

February 11, 2021

To The Dept. of Corporate Services, BSE Ltd, P. J. Towers, Dalal Street, Mumbai - 400 001	To SMIFS CAPITAL MARKETS LTD Vaibhav, 4 Floor, 4 Lee Road, Kolkata, West Bengal, 700020
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Subject: Shareholding disclosure

Dear Sir / Madam,

I hereby submit disclosure under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 in scrip of **SMIFS CAPITAL MARKETS LIMITED**.

Kindly acknowledge receipt of the same and update your records.

Thanking you,

Yours Faithfully,

M. Patel
For **Minal B. Patel**

Encl.: As above

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED
P. Bhatia
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Disclosure under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Part -A-Details of the Acquisition

Name of the Target Company (TC)	SMIFS CAPITAL MARKETS LTD		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	1) Minal B. Patel		
Whether the acquirer belongs to Promoter / Promoter group	No		
Name(s) of the Stock Exchanges where the shares of TC are Listed	BSE		
Details of acquisition as follows	Number	% w.r.t. total share / voting capital wherever	% w.r.t. total diluted share / voting capital of the TC
Before the acquisition under consideration, holding of acquirer along with PACs of:			
a) Shares carrying voting rights	251028	4.49%	NA
b) Shares in the nature of encumbrance	NIL	NA	NA
c) Voting rights (VR) otherwise than by equity shares	NIL	NA	NA
d) Warrants / convertible securities / any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	NIL	NA	NA
e) Total (a+b+c+d)	251028	4.49%	NA
Details of acquisition			
a) Shares carrying voting rights acquired	55139	0.99%	NA
b) VRs acquired otherwise than by equity shares	NIL	NA	NA
c) Warrants / convertible securities / any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired	NIL	NA	NA
d) Shares in the nature of encumbrance	NIL	NA	NA
e) Total (a+b+c+d)	55139	0.99%	NA
After the acquisition, holding of acquirer along with PACs of:			
a) Shares carrying voting rights	306167	5.48%	NA
b) VRs otherwise than by equity shares	NIL	NA	NA
c) Warrants / convertible securities / any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	NIL	NA	NA
d) Shares in the nature of encumbrance	NIL	NA	NA
e) Total (a+b+c+d)	306167	5.48%	NA
Mode of acquisition (e.g. open market / public issue / rights issue / preferential allotment / inter-se transfer / encumbrance, etc.)	Open Market		
Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares, etc	NA	NA	NA
Date of acquisition of / date of receipt of intimation of allotment of shares / VR / warrants / convertible securities / any other instrument that entitles the acquirer to receive shares in the TC.	09-02-2021		
Equity share capital / total voting capital of the TC before the said acquisition	Rs.55850000		
Equity share capital / total voting capital of the TC after the said acquisition	Rs.65850000		
Total diluted share / voting capital of the TC after the said acquisition	-		

Part -B

Name of the Target Company : SMIFS CAPITAL MARKETS LIMITED

Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Whether the acquirer belongs to Promoter / Promoter group	PAN of the acquirer and / or PACs
Minal B. Patel	NO	AACPP5126G

Place: Mumbai
Date: 11-02-2021

Minal B. Patel
For Minal B. Patel

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For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL