

23<sup>rd</sup> April, 2024

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex, Mumbai  
Kind Attn: Manager, Listing Department  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street, Mumbai  
Kind Attn: Manager, Listing Department  
Stock Code - 532221

Dear Sirs/Madam,

**SUB: CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

With reference to the above captioned subject, please find enclosed copy of Compliance Certificate for the year ended 31<sup>st</sup> March, 2024, as required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by the Practicing Company Secretary.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
For **Sonata Software Limited**

**Mangal Kulkarni**  
**Company Secretary, Compliance Officer and Head Legal**

Encl: As above



To,

**Sonata Software Limited,**  
208 T V Indl Estate, 2<sup>nd</sup>Floor,  
S. K. Ahire Marg, Worli  
Mumbai- 400 030

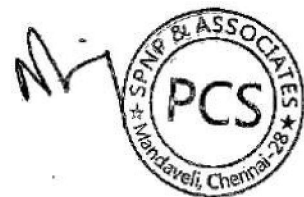
**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2024**

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate; consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder / claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, on the basis of examination of all relevant registers, process sheets, documents and papers of **SONATA SOFTWARE LIMITED** ("the Company"), made available to us through its Registrar and Share Transfer Agent viz. **Kfin Technologies Limited** for the purpose of issuing the certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that the Company, through its Registrar and Share Transfer Agent viz. Kfin Technologies Limited.

- A) Has not received any valid share transfer request during the period from **1<sup>st</sup>April, 2023 to 31<sup>st</sup>March, 2024.**



**SPNP & ASSOCIATES**  
**Practising Company Secretaries**

No.10/28, II Floor, 3rd Cross Street, R.K. Nagar, Raja Annamalaipuram, Chennai - 600 028.  
snpnassociates@gmail.com # Phone: 044 4215 3510, 4320 1250, Mobile : 95660 33011



- B) has received thirteen (13) requests for issuance of Duplicate share certificates and the duplicate share certificate/Letter of confirmation have been issued within 30 days of lodgement. Further, during the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March 2024**, three (3) requests for transmission has been received.
- C) During the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024**, there were one (1) request for name change and Twelve (12) requests for exchange of securities certificate.
- D) Furthermore, the Company has not received any requests for transposition, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios and issue of Split Share Certificate.

**Date: 22/04/2024**  
**Place: Chennai**

**For SPNP & Associates**



**Nithya Pasupathy**  
**Partner**

**Membership number- 10601**

**C.O.P number - 22562**

**Peer Review No.: 1913/2022**

**UDIN: F010601F000203057**

**CC: Kfin Technologies Limited, Selenium Building, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad Rangareddi, Telangana-500032**

SPNP& Associates  
Practising Company Secretaries  
No.10/28, IIfloor, 3<sup>rd</sup> Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028.  
snpassociates@gmail.com #Phone:044 42153510, 43201250, Mobile: 9566033011.