

**HARMONY CAPITAL SERVICES LTD.**

(CIN: L67120MH1994PLC288180)

Reg. Off: Office No. 8A, 8<sup>th</sup> Floor, Astral Centre,  
470-B, N. M. Joshi Marg, Chinchpokli - West, Mumbai – 400 011.  
Tel.: 022 2300 1206, Web Site: www.hcsl.co.in, E-mail: harmonycsl@yahoo.com

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To,

Date: 13.04.2021

**The Manager**

**Listing Dept./Market Operation Dept.**

BSE Limited.

14<sup>th</sup> floor, Phiroz Jeejeebhoy Towers,

Dalal Street, Mumbai – 400 023

**Sub : Submission of Certificate Under Regulation 40(9) Of SEBI (Listing Obligations And Listing Requirements) Regulations, 2015 for the Quarter and Half Year ended on 31<sup>st</sup> March.,2021**

**Ref : Harmony Capital Services Limited (Scrip Code-530055, Scrip ID- HRMNYCP)**

Dear Sir/Madam,


With reference to above noted subject we are submitting the Certificate under Regulation 40(9) of SEBI (Listing Obligations and Listing Requirements) Regulations, 2015 for the period from October 2020 to March.,2021.

Please take on record the same.

Thanking you,

Yours truly,

**For Harmony Capital Services Limited**

  
**Asutosh Raulo**  
**Managing Director**  
**DIN: 01589574**





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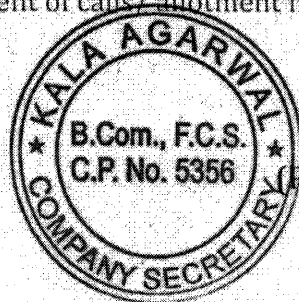
**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS) FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

To,  
The Board of Directors,  
HARMONY CAPITAL SERVICES LIMITED  
Mumbai

Dear Sirs,

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Harmony Capital Services Limited** maintained by **Link Intime India Pvt Ltd.** pertaining to transfer of equity shares of the Company for the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations entered into by **Harmony Capital Services Limited** with BSE, JSE & ASE and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2021:

- A) Share Certificates relating to the transfer of Shares received during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgement for transfer from respective date of lodgement of each deed except certain transfers which were entered beyond 30 days and excepting those rejected on technical grounds.
- B) Has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.



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**KALA AGARWAL**

(Practising Company Secretary)

C.P. No. 5356

UDIN: F005976C000074871

Place: Mumbai

Date: 13/04/2021