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SECURITIES

Alka Securities Limited

10, Maitri, NS Rd. No. 10, JVPD Scheme,  
Juhu, Vile Parle West, Mumbai - 400 049  
www.alkasecurities.com +91-022-2670 8941

CIN: L65910MH1994PLC165204

Date: 06.04.2024

To  
Listing Compliance  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400001

Symbol: ALKASEC  
Scrip Code: 532166

**SUBJECT: Submission of Certificate required as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

In compliance with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of the Compliance Certificate issued by Sumit Bajaj & Associates, Practicing Company Secretaries, for the year ended on 31st March 2023.

Thanking you

Yours Faithfully,

For Alka Securities Limited

Nishi jayantilal

jain

Nishi Jain

Company Secretary and Compliance Officer

Digitally signed by Nishi  
jayantilal jain  
Date: 2024.04.06 17:32:20  
+05'30'

Encl: Certificate



# Sumit Bajaj & Associates

(Practicing Company Secretaries)

Office Address: Office no.804, Arunachal Building, Barakhamba Road, New Delhi-110092

Email Id: [cssumitbajaj@gmail.com](mailto:cssumitbajaj@gmail.com), Tel: +91-9910613098

Registration No. S2019DE677200, Peer Review No. 2885/2023

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023

We, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Alka Securities Limited** (maintained by **Purva Shareregistry (India) Private Limited**) pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per **Regulation 40(9) of Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015** entered into by **Alka Securities Limited** with the **BSE Limited** and based on the information provided by the Company, We hereby certify that-

- A. The Company has not received any request relating to the registration of transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of Shares/Debentures during the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023**.
- B. No share certificate(s) has been issued in respect of duplicate and split certificate(s) as there is no such request received by the company.

For Sumit Bajaj & Associates  
(Practicing Company Secretary)

SUMIT  
BAJAJ

Digitally signed by  
SUMIT BAJAJ  
Date: 2024.04.08  
16:20:29 +05'30'

CS Sumit Bajaj  
(Proprietor)  
C. P. No: 23948  
M. No.: 45042

Date: 08.04.2024

Place: New Delhi

UDIN: A045042F000059518