SANDEEP GANDHI & CO.

COMPANY SECRETARIES

3, Vasant Vihar, New Nagardas 'x' Road,
Andheri (East), Mumbai – 400 069.
Tel. (O): 2863 0152 (R): 2863 0859
E-mail : gandhiin@vsnl.com

CERTIFICATE UNDER REGULATION 40 (10) OF SECUR ITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIG ATIONS AND DISCLOSURE REQUIREMENTS), REGULATIONS 2015

FOR THE HALF YEAR ENDED 31ST MARCH 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Alka Securities Limited maintained by M/s. Purva Sharegistry (India) Pvt. Ltd. pertaining to transfer of equity shares of the company for the period from 1st October 2019 to 31st March 2020, for the purpose of issuing a certificate under Regulation 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for M/s. Alka Securities Limited who have entered into the Listing Agreement with BSE and based on my own examination as well as the information provided by the Company, which were to the best of my knowledge and belief necessary for the purpose of my certification, I hereby certify that the Company has delivered during half year ended on 31st March 2020 **:**

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1st October 2019 to 31st March 2020 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

For Sandeep Gandhi & Co.
Company Secretaries

Date: 12th June 2020
Place: Mumbai

Sandeep Gandhi
Proprietor
C.P.No. : 4481