

# RGF CAPITAL MARKETS LIMITED

Regd Office: 14, N.S. Road, 2<sup>nd</sup> Floor, Kolkata – 700001

CIN: L67120WB1983PLC036113

Phone: 033-40055190

Email:rgfcapitalmarket@gmail.com, Website: www.rgfcapitalmarkets.in

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Date: 22.10.2020

To, BSE Limited PhirozeJeejeebhoy Towers Dalal Street Mumbai – 400001 email ID: corp.relations@bseindia.com	To, The Company Secretary & Listing Department , The Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata - 700001
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**Sub: Certificate under Regulation 40 (9) of Listing Agreement for the half year ended on September 30, 2020**

Dear Sir,

We enclose herewith the Certificate under Regulation 40(9) of Listing Agreement for the half year ended on September 30, 2020, for your information and record.

Kindly acknowledge the receipt.

Thanking You,

Yours Truly,

For RGF Capital Markets Limited  
**for RGF CAPITAL MARKETS LTD**

  
**DIRECTOR**

Sandip Kumar Bej  
(Director)  
DIN.02738193

Ref No.....

Date:-21.10.2020

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)REGULATION,2015**

**FOR THE HALF YEAR ENDED SEPTEMBER,30, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/S RGF CAPITAL MARKETS LTD** maintained by **MAHESHWARI DATAMATIC PVT LTD**. Pertaining to transfer of equity shares of the company for the period from 01/04/2020 to 30/09/2020 for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI(Listing Obligations & Disclosure Requirements) Regulation, 2015 entered into by **M/S RGF CAPITAL MARKETS LTD** with **THE CALCUTTA STOCK EXCHANGE LIMITED AND BOMBAY STOCK EXCHANGE LIMITED** and based on the information provided by the company, I hereby certify that the company has delivered during half year ended on 30<sup>TH</sup> SEPTEMBER, 2020.

- A) Share Certificate(s) relating to the transfer of shares received during the period from 1<sup>st</sup> April, 2020 to 30th September, 2020 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment of transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds;
- B) Any other information, if applicable. NIL

Date:- 21.10.2020  
Place:- Kolkata



Signature-----  
(Puja Pujari)

C.P. No.20171  
UDIN:- A054368B001022797