

HZL/2024-25/SECY/23

April 24, 2024

BSE Limited  
Phiroze Jeejeebhoy Towers

Dalal Street, Fort  
Mumbai – 400 001

Kind Attn: General Manager – Department  
of Corporate Services

Scrip Code: 500188

National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor Plot No., C/I,  
G Block  
Bandra-Kurla Complex, Bandra (East),  
Mumbai – 400 051

Kind Attn: Head Listing &  
Corporate Communication

Trading Symbol: "HINDZINC"

Dear Sir/Madam,

**Sub: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Pursuant to the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 and the Insider Trading Prohibition Code ('Code') of the Hindustan Zinc Limited ('the Company'), please find enclosed herewith **Annexure A** w.r.t details of violation of the Company's Code by the Designated Person along with the action taken by the Company.

This is for your information and record.

Thanking you,

Yours faithfully,

**For Hindustan Zinc Limited**

**Harsha Kedia**  
**Company Secretary & Compliance Officer**

**Annexure A**
**Report by Hindustan Zinc Limited for violations related to Code of Conduct under SEBI  
 (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details																				
1	Name of the listed company/ Intermediary/Fiduciary	Hindustan Zinc Limited																				
2	Please tick appropriate checkbox. Reporting in capacity of: <input checked="" type="checkbox"/> <b>Listed Company</b> <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	-																				
3	<b>A. Details of Designated Person (DP)</b>																					
	i. Name of the DP	Mr. Dhawal Srivastava																				
	ii. PAN of the DP	CIOPS5698L																				
	iii. Designation of DP	Deputy Manager																				
	iv. Functional Role of DP	Finance																				
	v. Whether DP is Promoter or belongs to Promoter Group	No																				
	<b>B. If Reporting is for immediate relative of DP</b>																					
	i. Name of the immediate relative of DP	Mr. Ratnesh Kumar Srivastava																				
	ii. PAN of the immediate relative of DP	AICPS0112P																				
	<b>C. Details of transaction(s)</b>																					
	i. Name of the scrip	HINDZINC (NSE), 500188 (BSE)																				
	ii. No of shares traded and value (Rs.) (Date- wise)	<table border="1"> <thead> <tr> <th>Date of Trade</th> <th>Number of shares</th> <th>Price per share (₹)</th> <th>Transaction value (₹)</th> </tr> </thead> <tbody> <tr> <td>18-Apr-24</td> <td>1</td> <td>413.25</td> <td>413.25</td> </tr> <tr> <td>16-Apr-24</td> <td>1</td> <td>411.65</td> <td>411.65</td> </tr> <tr> <td>10-Apr-24</td> <td>14</td> <td>409</td> <td>5726</td> </tr> <tr> <td>03-Apr-24</td> <td>6</td> <td>327.9</td> <td>1967.4</td> </tr> </tbody> </table>	Date of Trade	Number of shares	Price per share (₹)	Transaction value (₹)	18-Apr-24	1	413.25	413.25	16-Apr-24	1	411.65	411.65	10-Apr-24	14	409	5726	03-Apr-24	6	327.9	1967.4
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<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>																						
i. Date of intimation of trade(s) by concerned DP/director/promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.																					
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.																					
4	Details of violations observed under Code of Conduct	Immediate relative i.e. father (Mr. Ratnesh Kumar Srivastava) of Mr. Dhawal Srivastava traded in																				

		securities of the Company without seeking pre-clearance during the trading window closure period
5	Action taken by Listed company/ <del>Intermediary</del> / <del>Fiduciary</del>	A penalty of Rs 426/- (Rupees Four Hundred Twenty Six) i.e., an advisory letter has been issued by the Company and 5% of the traded amount has been levied as penalty by the Insider Trading Monitoring Committee of the Company.
6	Reasons recorded in writing for taking action stated above	Considering the current role of Mr. Dhawal Srivastava in the Company and taking into account the fact that the transaction was done inadvertently, without any intention of profiteering but due to ignorance of law, the Insider Trading Monitoring Committee imposed aforesaid action.
7	Details of the previous instances of violations, if any, since last financial year	None
8	If any amount collected for Code of Conduct violation(s)	Yes
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online
	ii. Details of transfer/payment	
	<b>In case of Online:</b> Name of the transferor	Dhawal Kumar Srivastava
	Bank Name, branch and Account number	ICICI Bank, Madhuban Branch Udaipur, A/c No. 004501534394
	UTR/Transaction reference	240424183595086
	Number Transaction date	24/04/2024
	Transaction Amount (in Rs.)	426/-
	<b>In case of Demand Draft (DD):</b> Bank Name and branch DD Number DD date DD amount (in Rs.)	N.A.
9	Any other relevant information	None

**Yours faithfully,**

**Harsha Kedia**  
**Company Secretary & Compliance Officer**  
**PAN:** AWZPK2955R  
**Email Id:** [harsha.kedia@vedanta.co.in](mailto:harsha.kedia@vedanta.co.in)  
**Date:** April 24, 2024  
**Place:** Mumbai