



ASHIRWAD STEELS & INDUSTRIES LIMITED

Registered & Head Office: 6, Waterloo Street, Suit No. 506, Kolkata 700069, Ph: 033 22430372/76
Email: ashirwadsteels@gmail.com Web: www.ashirwadsteels.com CIN: L51909WB1986PLC040201

09-04-2021

BSE Ltd. (Listing Centre)
Floor 25, P.J.Towers,
Dalal Street
Mumbai-400001

Sub: Filing of Compliance Certificate for the year ended 31st March, 2021

Dear Sir,

Please find Enclosed herewith a copy of the Compliance Certificate under regulation 40(9) of the Securities and Exchange Board of India(Listing Obligations and Disclosure Requirements) Regulation, 2015 for the Year ended 31st March, 2021 issued by Patnaik & Patnaik, Practicing Company Secretaries.

Thanking You,

Yours Faithfully,
For Ashirwad Steels & Industries Limited

Anamika Sinha Roy
Company Secretary
Encl. as above

COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. **ASHIRWAD STEELS & INDUSTRIES LTD** maintained by M/s. Niche Technologies Pvt. Ltd. (The Registrar and transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify as follows:

1. The Company has not received any request relating to the transfer of equity shares during the period from 1st October, 2020 to 31st March, 2021; and
2. The Company has neither issued any Debentures nor carried out any activities such as sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period under consideration, hence information in this regard could not be commented.

Place: Kolkata

Date: 07/04/2021



For Patnaik & Patnaik
Company Secretaries

S. K. Patnaik

Partner

FCS: 5699; C.P.: 7117

UDIN: F005699C000030944



NICHE TECHNOLOGIES PRIVATE LIMITED

(An ISO 9001:2015 Certified Company)

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>

TO WHOMSOEVER IT MAY CONCERN

We, as Registrar & Share Transfer Agent of **Ashirwad Steels & Industries Limited**, hereby certify that during the period from **01.10.2020 to 31.03.2021** as below :

- 1) Nil Physical Transfer request was received.
- 2) Nil Physical Transmission request was received.
- 3) Nil request for share certificate Consolidation/Duplicate/Split/Replacement was received .
- 4) Nil Rematerialisation request was received.

For Niche Technologies Pvt. Ltd.

Ashok Sen
(Authorised Signatory)

Place : Kolkata
Date : 05.04.2021