



बामर लॉरी इनवेस्टमेंट्स लिमिटेड

(भारत सरकार का एक उद्यम)

Balmer Lawrie Investments Ltd.

(A Government of India Enterprise)

पंजीकृत कार्यालय :
21, नेताजी सुभाष रोड
कोलकाता - 700 001
फोन : (91) (033) 2222 5227
Regd. Office :
21, Netaji Subhas Road
Kolkata - 700 001
Phone : (91)(033) 2222 5227
CIN : L65999WB2001GOI093759

Ref: BLIL/SECY/MAR2021

Date: 27th April, 2021

The Secretary,
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata – 700 001

The Secretary,
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Scrip Code: **12638**

Company Code: **532485**

Dear Sir(s)/Madam(s),

Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021

We hereby submit the Certificate issued by the Practicing Company Secretary under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021.

Kindly acknowledge receipt of the same.

Yours faithfully,

For **Balmer Lawrie Investments Limited**

Abhishek Lahoti

Abhishek Lahoti
Company Secretary and Compliance Officer

Encl: As above

Ref:

Date: 26/04/2021

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

The Securities and Exchange Board of India [hereinafter referred to as 'SEBI'] vide Gazette Notification dated 08th June, 2018 and its press release dated 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialised form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 [SEBI Clarification vide PR No.: 12/2019 dated 27.03.2019] has clarified that the transfer deeds once lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Further, SEBI vide its circular dated September 7, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

Accordingly, on the basis of examination of the relevant registers and other documents relating to equity shares of Balmer Lawrie Investments Limited (CIN: L65999WB2001GOI093759) having its Registered Office at 21, Netaji Subhas Road, Kolkata – 700 001 maintained and provided electronically by its Registrar and Share Transfer Agent (RSTA) M/s. C. B. Management Services Private Limited pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015 and based on the information provided by the Company, its officers and agents; we certify that during the half year ended on 31st March, 2021:

A) 1.a. there was no re-lodgement of any transfer deeds during the period from October 1, 2020 to March 31, 2021 and accordingly, the Company was not required to send during half year ended on March 31, 2021 any letter of confirmation as per SEBI's operational guidelines for execution of transfers. As informed to us, no request was received relating to sub-division / consolidation / renewal / exchange or endorsement of calls/allotment monies and no certificates have been issued for any such lodgement.

1.b. also there was no requests relating to deletion of name or transposition of securities. Certificates relating to transmission requests for securities held in physical mode were issued within 30 days from the date of lodgment, but delivered thereafter beyond that period.



B) Any other information, if applicable – NIL

Place: Kolkata

Date: 26.04.2021

For D. DUTT & CO.

Company Secretaries

UNIQUE CODE NUMBER: I2001WB209400



(DEBABRATA DUTT)

Proprietor

FCS-5401

C.P. No.-3824

UDIN Number: F005401C000183733

