

Dated: October 09, 2020

The Manager BSE Limited Corporate Relationship Department Phiroze Jeejeebhoy Towers Dalal Street Mumbai- 400001 The Manager National Stock Exchange of India Ltd Listing Department Exchange Plaza, 5<sup>th</sup> Floor, Plot no C/1 G Block, Bandra Kurla Complex Bandra (E), Mumbai-400 051

Scrip Code: 540750

Symbol: IEX

## Sub: Submission of Certificate Under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2020.

Dear Sir / Madam,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit certificate duly signed by KFin Technologies Private Limited (Registrar & Transfer Agent) and the Compliance Officer of the Company for the half year ended **September 30, 2020** in compliance of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The above information will also be made available on the website of the Company: <u>www.iexindia.com</u>

You are requested to take the above information on record.

Thanking You

Yours faithfully,

For Indian Energy Exchange Limited

Vineet Harlalka Company Secretary & Compliance Officer Membership No. ACS-16264



www.iexindia.com

## Indian Energy Exchange Limited Registered & Corporate Office: Unit No. 3, 4, 5 & 6, Plot No.7, Fourth Floor, TDI Centre, District Centre, Jasola, New Delhi – 110025 Tel: +91-11-4300 4000 | Fax: +91-11-4300 4015 CIN: L74999DL2007PLC277039

## COMPLIANCE CERTIFICATE UNDER REGULATION 7(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

## FOR THE HALF YEAR APRIL 1<sup>ST</sup>, 2020 TO SEPTEMBER 30<sup>TH</sup>, 2020

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED ('KFIN') is providing Registry and Share Transfer services to M/s Indian Energy Exchange Limited ('the Company').

It is further certified that KFin:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities and Exchange Board of India (SEBI).
- b. Handles all activities in relation to physical share transfer facility and maintains related documents/correspondence for all the transactions in physical form in respect of the Company.
- c. Maintains the data in respect of shareholders holding shares in electronic form (Demat), as received from the Depositories.

This certificate is issued in compliance with Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For KFin Technologies Private Limited

MRV Subrahmanyam General Manager

Place : Hyderabad Date : 08/10/2020 For India Energy Exchange Limited

Vineet Harlalka

Vineet Harlalka Compliance Officer

Place: New Delhi Date : 09 10 2020