

Ref. No.: AUSFB/SEC/2021-22/14

Date: 9th April 2021

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Subject: Compliance of Regulation 7(3) of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015.

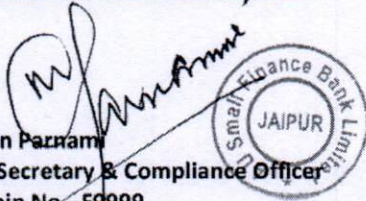
Dear Sir/Ma'am,

We hereby inform that Link Intime India Private Limited, a SEBI registered Registrar and Share Transfer Agent, bearing number INR000004058, having its Registered Office at C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai – 400083 is acting as our Registrar and Share Transfer Agent.

In view of the above-mentioned regulation, we hereby inform and certify that all the activities of our Bank in relation to share transfer facility are maintained by our Registrar and Share Transfer Agent Namely Link Intime India Private Limited during the period from 01st October 2020 to 31st March 2021.

Thanking You,
FOR AU SMALL FINANCE BANK LIMITED

Manmohan Parzani
Company Secretary & Compliance Officer
Membership No. -F9999



FOR Link Intime India Private Limited

Authorized Signatory



Registered Office
 AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
 Formerly known as Au Financiers (India) Limited

SEARCHABLE FORMAT

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**Manmohan Parnami
Company Secretary & Compliance Officer
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