



Uniphos Enterprises Limited

CIN: L24219GJ1969PLC001588

23rd October, 2020

The Corporate Relationship Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, MUMBAI - 400 001 <u>SCRIP CODE – 500429</u>	Listing Department National Stock Exchange of India Ltd. Exchange Plaza, C/1, Block G, Bandra Kurla Complex, Bandra (East) MUMBAI 400 051 <u>SYMBOL : UNIENTER</u>
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Sub.: Certificate under Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. T. R. Vaidyanathan & Co., Company Secretaries for the half year ended 30th September, 2020.

We request you to take the above certificate on record.

Thanking you,

Yours faithfully,
for **Uniphos Enterprises Limited**

Rajnikant Devidas Shroff
Chairman and Managing Director
(DIN: 00180810)

T.R.VAIDYANATHAN & CO.
Company Secretary.
T.R.Vaidyanathan.
F.C.S, A.C.I.S. (London).

401, A Wing, Udayraj CHS Ltd.
Shreenagar, Off M. G. Road,
Goregaon (West),
Mumbai – 400 104
Tel. No: 2877 5754

TO WHOMSOEVER IT MAY CONCERN

This is to certify that **UNIPHOS ENTERPRISES LIMITED**, having its Registered Office at 11, G.I.D.C., Vapi, Dist. Valsad, Gujarat - 396 195, has kept all the records and registers maintained by **M/s. Link Intime India Pvt. Ltd.** the Registrar and Share Transfer Agent of the Company having their registered address at **C-101, 247 Park, LBS Marg, Vikhroli (West), Mumbai - 400083** for the purpose of issuing a certificate as stipulated under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

I/We have examined the relevant Books, Registers, Forms, Documents and Records of **UNIPHOS ENTERPRISES LIMITED** as may be necessary for the purpose of issuing Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.


I hereby certify that:

- (a) The Company has not received any request for Transfer, Transmission, Name Deletion and Change of name during the half year ended 30th September, 2020 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year is not applicable.
- (b) No request was received for issue of duplicate Share Certificate, sub-division, consolidation, exchange or endorsement of calls / allotment monies during the half year ended 30th September, 2020.
- (c) One request was received for renewal of Share certificate and pending as on 30th September, 2020. This was subsequently dealt on 5th October, 2020. Further, the request relating to renewal of share certificate was processed within the regulatory timelines, however due to the lockdown on account of the COVID-19 pandemic, the share certificate was dispatched and delivered after resumption of postal/courier services.

Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation. The relevant records were examined as produced electronically by the Company/RTA.

For T.R.VAIDYANATHAN & CO




(T.R.VAIDYANATHAN)
COMPANY SECRETARY
FCS No. 254 COP No. 2718

Place: MUMBAI
Date: 20/10/2020
UDIN : F000254B001012188