

MODERN INDIA LTD.



**17.04.2021**

To,  
The Dy. General Manager  
Corporate Relationship Department  
BSE LIMITED  
P. J. Towers, Dalal Street,  
Mumbai 400 021

**Scrip Code: 503015**

Sub.: Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements),  
Regulations,2015

Sir,

We are enclosing herewith Certificate as required under Regulations 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations,2015 for the half year ended 31<sup>st</sup> March,2021.

Kindly take the same on record.

Thanking you.

Yours faithfully,

**For Modern India limited**

Parind  
Chandrakant  
Badshah

Digitally signed by Parind  
Chandrakant Badshah  
DN: CN = Parind Chandrakant  
Badshah, C = IN, S = Maharashtra,  
O = Personal, T = 4437  
Date: 2021.04.17 12:54:59 +05'30'

Parind Badshah  
Vice President & Company Secretary  
Address: 1, Mittal Chambers,228, Nariman Point, Mumbai-400021  
FCS: 5414  
Encl:a/a

**PARIKH PAREKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd.,  
Sab TV Lane, Opp Laxmi Industrial Estate,  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai: 400053  
Tel No.: 26301232 / 26301233 / 26301240  
Email: cs@parikhassociates.com  
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to **MODERN INDIA LTD** ('the Company') maintained and provided electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

1. b. The Company has delivered during half year ended on 31<sup>st</sup> March, 2021 share certificates in respect of requests relating to transmission and consolidation of securities received during the period from 01<sup>st</sup> October 2020 to 31<sup>st</sup> March, 2021, within thirty days, from the respective date of lodgement barring two transmission case. As informed to us there were no requests relating to deletion of name, transposition of securities, sub-division (split), renewal, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable:

**For Parikh Parekh & Associates**

Mohammad  
Pillikandlu

Digitally signed by Mohammad Pillikandlu  
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st=Maharashtra,  
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26c2d338894c2e72033a06907c553a367,  
serialNumber=572ebce0431e121c4dcba8  
1b19396412c2b2e04485121c598eda4564a  
570f06, cn=Mohammad Pillikandlu  
Date: 2021.04.15 14:51:38 +05'30'

Place: Mumbai  
Date: April 15, 2021

**Mohammad Pillikandlu**  
**FCS: 10619 CP: 14603**  
**UDIN: F010619C000097739**