



19th November 2020

The Manager Corporate Relationship, BSE Limited, 1st Floor, New Trading Ring, Rotunda Buliding, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir/Madam,

**Sub:** Disclosure under Regulation 5(5) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (“**PIT Regulations**”)

Pursuant to Regulation 5(5) of the PIT Regulations, please find enclosed herewith in **Annexure A** the trading plan dated 11th November 2020 as informed to us by Biraja Prasad Tripathy, Senior Vice President, an employee of the Bank’s subsidiary, Kotak Mahindra Asset Management Co. Ltd.

This is for your information and appropriate dissemination.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

**Bina Chandarana**  
**Joint President & Company Secretary**

**TRADING PLAN**

(For the period from 25-may-2021 to 24-may-2022)

To  
The Compliance Officer,  
Kotak Mahindra Asset Management Co. Ltd.

Dear Sir/Madam,

**Sub: Trading Plan under Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015**

In terms of provisions of Regulation 5 of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Clause 2.13 of MOPP Governing Personal Securities Transactions By Employees, And Prohibition Of Insider Trading In Securities By Employees, adopted by the Company, I, **Biraja Prasad Tripathy**, hereby give my trading plan / ~~trading plan of my immediate relatives~~ to sell the equity shares of Kotak Mahindra Bank Limited, held as ESOP as part of my remuneration, as per details furnished hereunder:

Name of the Employee:	<b>Biraja Prasad Tripathy</b>
Name of the Immediate Relative	<b>NA</b>
Relation with Immediate Relative	<b>NA</b>
Designation:	<b>Senior Vice President</b>
Date of Submission:	<b>11 Nov 2020</b>
Period of Trading:	<b>As per the plan mentioned in the table below</b>
No trading period:	From twentieth trading day before 31 March to the second trading day after the disclosure of annual financial results by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 June to the second trading day after the disclosure of financial results for first quarter by Kotak Mahindra Bank Limited.
	From twentieth trading day before 30 September to the second trading day after the disclosure of financial results for the half year by Kotak Mahindra Bank Limited.
	From twentieth trading day before 31 December to the second trading day after the disclosure of financial results for the third quarter by Kotak Mahindra Bank Limited.

Details of Trades to be executed (by the insider and / or his immediate relatives):

Particulars of person		Period or Dates of Proposed Trades			Quantity or Value of Proposed Trades	
					Quantity	Value
Name	Relation with insider	From	To	Date of Trade	Sell	Sell
<b>Biraja Prasad Tripathy</b>	<b>Self</b>	<b>May 25, 2021</b>	<b>May 28, 2021</b>		<b>600</b>	
<b>Biraja Prasad Tripathy</b>	<b>Self</b>	<b>November 8, 2021</b>	<b>November 12, 2021</b>		<b>300</b>	


**I hereby undertake that:**

I/my immediate relative(s) will not trade in the securities as set out in the trading plan during the cool off period of six months.

I/my immediate relatives will not trade during the no-trading periods specified herein above.

I/my immediate relatives will not trade in the securities of Kotak Mahindra Bank Ltd. for market abuse.

I hereby confirm that I am not in possession of any unpublished price sensitive information OR ~~I am in possession of certain unpublished price sensitive information at the time of formulation of this trading plan and I/my immediate relatives will not trade pursuant to this trading plan until such unpublished price sensitive information becomes generally available information.~~

Signature: Sd/-

Date: November 11, 2020

Place: Mumbai

The above trading plan is approved / ~~rejected~~:

In case of rejection the reasons for rejection are as follows:

**For Kotak Mahindra Asset Management Co. Ltd.**

Compliance Officer:

Date: November 19, 2020

**For Kotak Mahindra Bank Limited**

Compliance Officer

Date: