

## April 11, 2021

To, Listing/Compliance Department **BSE LTD.** Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001. To, Listing/Compliance Department **National Stock Exchange of India Limited** "Exchange Plaza", Plot No. C/1, G Block Bandra-Kurla Complex, Bandra(E), Mumbai – 400 051. **NSE CODE: AARTISURF** 

Sir/Madam,

**BSE CODE - 543210** 

**Sub:** Compliance of Regulation 40(10) of the SEBI (LODR) Regulations, 2015.

We are forwarding herewith the Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015, for the period from October 1, 2020 to March 31, 2021 of Jagdish Patel & Co., Company Secretaries in Practice for your perusal and record.

Please take note of the above.

Thanking you,

Yours faithfully,



COMPANY SECRETARY ICSI M. No. A46480

Encl.: as above.

www.aarti-surfactants.com | CIN : L24100GJ2018PLC102891 Corporate Office : Unit 202, Plot 71, Udyog Kshetra, 2nd Floor, Mulund-Goregaon Link Road, Mulund (W), Mumbai - 400 080. T : 022-67976666 | E : info@aarti-surfactants.com Regd. Office : 801, 801/23, GIDC Estate, Phase III, Vapi, Valsad, Gujarat, India, 396195 JAGDISH PATEL & CO. COMPANYSECRETARIES

Rozy Empire, Silvassa Road, Opp.: PWD Rest House, Vapi - 396 191, Tele Fax: (0260) 2431655 9324545141, Email : jppvapi13@csjpc.in Aarti Surfactant /Reg\_40(9)/31.03.2021/22

202, Prem Kutir CHS Ltd. Prem Nagar, Near MCF Udyan, Borivali (W)Mumbai 400091 Tel: (022) 2894 0483, 3067 5215

Date - 10/04/2021

To,

The Board of Directors, Aarti Surfactants Ltd 801, 801/23, GIDC Estate, Phase III Vapi, Valsad, Gujarat, 396195

Dear Sirs,

Sub: - Certificate under Regulation 40 (9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We have examined the data produced before us by E-mail (the relevant books, registers, forms, documents and papers could not be made available due to COVID-19 pandemic) relating to Aarti Surfactants Ltd ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) relating to Transfer, Transmission, Transposition, Sub-Division, Consolidation of Shares and Deletion of Name on the Share Certificate(s) for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by Aarti Surfactants Ltd With BSE Limited and The National Stock Exchange of India Ltd (NSE) and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended 31<sup>st</sup> March, 2021.

- A) 7 Share Certificates relating to the Transmission of 730 Shares received during the period from 1st October, 2020 to 31st March, 2021 as entered in the Register of Transfers and Transmission have been issued within 21 days of the date of lodgement and 1 Share Certificate for 120 Shares has been issued after 21 days of the date of lodgement.
- B) 5 Share Certificates relating to the Deletion of Name were received during the period from 1st October, 2020 to 31st March, 2021 for 3390 shares have been issued within 15 days of the date of lodgement and 1 Share Certificate for 120 Shares has been issued after 15 days of the date of lodgement.
- C) 1 Share Certificate relating to the Transfer of 120 Shares received during the period from 1st October, 2020 to 31st March, 2021 as entered in the Register of Transfers and Transmission and has been issued within 15 days of the date of lodgement.

FOR JAGDISH PATEL & CO. Unique Code No.: P1991GJ052300 Company Secretaries,

Partner CS Ronak Kalathiya UDIN: A037007C000045521