

# ACTION FINANCIAL SERVICES (INDIA) LIMITED

**Giving Power To Your Investments**

MEMBER: NSE & BSE (CASH SEGMENT) - NSE (DERIVATIVES) - DEPOSITORY PARTICIPANT  
SEBI REGISTRATION NO.: INZ000179538 - IN-DP- NSDL-21-97  
CIN: L65944MH1992PLC068879 • WEBSITE: [www.actionfin.com](http://www.actionfin.com)

Tel.: (91) (22) 43654444 • Fax: (91) (22) 43654446

Email: [actionfin@actionfin.com](mailto:actionfin@actionfin.com) (Broking Division) • [actiondp@actionfin.com](mailto:actiondp@actionfin.com) (DP Division)

**Registered Office:**

46 & 47, Rajgir Chambers, 6th Floor,  
12/14 - Shahid Bhagat Singh Road,  
Opp. Old Custom House, Fort,  
Mumbai - 400001.

**Corporate Office (DP & Broking Division):**

11 to 15, Rajgir Chambers, 2nd Floor,  
12/14 - Shahid Bhagat Singh Road,  
Opp. Old Custom House, Fort,  
Mumbai - 400001.



Date: 12<sup>th</sup> May, 2020

To,  
Listing Compliance Department  
BSE limited  
Phiroze Jeejeebhoy towers  
Dalal Street, Mumbai - 400001

Dear Sirs,

Ref: Scrip ID – ACTIONFI

Scrip Code -511706

Subject: Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for half-year ended 31<sup>st</sup> March, 2020.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to both physical and electronic share transfer facility are maintained during the period October 1, 2019 to March 31, 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz. Link Intime India Private Limited, which is registered as Registrar and Share Transfer Agent in Category-1 with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you

Yours Faithfully,

For Action Financial Services (India) Limited

For Link Intime India Private Limited

  
Milan Parekh  
(Managing Director)\*

Authorised Signatory

*\*The managing director who is the Compliance Officer for the time being has signed the Compliance Certificate on behalf of the company.*