

Ref. No.: AUSFB/SEC/2021-22/65

Date: 2nd May, 2021



To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>Symbol: AUBANK</b>	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir(s),

**Sub: Clarification on Media Speculation**

In light of media speculation around resignation of the Bank's Chief Audit Officer (Internal Auditor) we would like to clarify that the Bank has made and will continue to make requisite disclosures in compliance with its obligations under the Securities and Exchange Board of India (Listing and Obligations and Disclosure Requirements), 2015 ("**SEBI Listing Regulations**") and other regulations as applicable.

We hereby clarify:

Mr. Nitin Gupta, Chief Audit Officer (who is an employee of the Bank and is in charge of Internal Audit function), submitted his resignation request on 3rd March 2021 to pursue career opportunities outside the Bank. His resignation was not accepted, whilst the Bank had further discussions with respect to his continuation in the organisation. When these discussions didn't materialize, Mr. Gupta's request for resignation was placed at the Audit Committee & Board Meeting held on 29th April 2021. The Audit Committee accepted his resignation with effect from 13<sup>th</sup> May 2021 and new Chief Audit Officer (Internal Audit) will take charge from 14<sup>th</sup> May 2021. Mr. Gupta will remain in employment with the Bank until completion of his notice period. Accordingly due disclosure was made within the stipulated time.

This is for your information and record.

Thanking You,  
Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**

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CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**