

SWADESHI POLYTEX LIMITED

Regd Office: KJ-77, Kavi Nagar, Ghaziabad-201002 (U.P.)

Tele/Fax: +91-120-2701472, Email: info@splindia.co.in, Website: www.splindia.co.in

CIN: L25209UP1970PLC003320

6th April, 2024

The BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001

Ref: Scrip Code No 503816

Subject: Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31.03.2024

Dear Sir,

We are enclosing Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the year ended 31.03.2024.

Kindly take the same on record.

Thanking you.

Yours truly,

For Swadeshi Polytex Limited

Anuradha Sharma
Company Secretary



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024.

I have examined all the relevant documents of SWADESHI POLYTEX LIMITED ('the Company') maintained and provided electronically by RCMC Share Registry Private Limited, Registrar and Share Transfer Agents (RTA) pertaining to transfer and issuance of duplicate share certificates of the Company for the period from 01st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its RTA, I hereby certify that:

1. There was no cases for physical transfer of shares during the audit period.
2. No requests were received by the Registrar and Share Transfer Agent of the company for transposition, sub-division, renewal, exchange and suspense account for the period from 01st April, 2023 to 31st March, 2024.
3. There were 21 cases containing 3,500 shares received for duplicate issue.
4. There were 29 cases containing 47,250 shares received for transmission.
5. There were 6 cases containing 8,000 shares received for name change.

The Company has delivered/sent during year ended on 31st March, 2024, letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, duplicate issue and change of name, received during the financial year ended 31st March, 2024, within the prescribed time from the respective date of lodgment.

For Shruti Singhal & Associates

Company Secretaries

SHRUTI

GARG

Shruti Garg

Proprietor

C.P. No. 22138

Date: 06.04.2024

Place: Ghaziabad

Peer Review No.-5223/2023

UDIN: A057010F000044470

Digitally signed by
SHRUTI GARG
Date: 2024.04.06
13:54:03 +05'30'