



Corporate Office: 1401-1411, 14<sup>th</sup> Floor, Logix City Center,  
Sector-32, Noida-201301 (U.P.)  
Tel. : 0120- 6013232

April 3, 2023

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort, <b><u>Mumbai – 400 001</u></b>  Scrip Code: 519588	National Stock Exchange of India Ltd. (NSE) Exchange Plaza, Bandra Kurla Complex, Bandra (E), <b><u>Mumbai – 400 051</u></b>  Symbol: DFMFOODS
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Dear Sir/Madam,

**Sub.: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015**

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, please find enclosed herewith a Certificate issued by Practicing Company Secretary for the financial year ended March 31, 2023 pertaining to compliance of the requirement under the said Regulation by our Registrar & Share Transfer Agent i.e. MCS Share Transfer Agent Limited, F-65, 1<sup>st</sup> Floor, Okhla Industrial Area, Phase - I, New Delhi - 110020.

This is for your information and record.

Thanking you.

Yours faithfully,  
For DFM Foods Limited

R S Tomer  
Company Secretary

Enclosed: As above

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

We have examined the relevant books and records of **DFM Foods Limited**, as maintained by its RTA, i.e. MCS Share Transfer Agent Limited, pertaining to transfer of equity shares of the Company for the period from April 1, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that, in our opinion and according to the best of our information and belief, the Company during the financial year ended on 31<sup>st</sup> March, 2023:

- a) has received securities for transfer, transmission, issue of duplicate securities certificate, claim from unclaimed suspense account, deletion/correction of name, renewal/exchange of securities certificate, endorsement of calls/allotment monies, sub-division/splitting of securities certificate and consolidation of securities certificates/folios.
- b) has issued/delivered share certificate(s)/letter (s) of confirmation as per SEBI's operational guidelines, for execution of transfer, transmission, duplicate, deletion/correction of name, transposition of securities, consolidation, sub-division (split) and renewal received during the financial year ended on March 31, 2023 within the period stipulated under the Regulation from the date of lodgement of request.

For D. S. Associates  
Company Secretaries

Dhawal Kant Singh  
Partner  
CP No. 7347  
UDIN: F008687E000006729  
PR: 1724/2022

Date: April 3, 2023  
Place: Delhi

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