

KJMC FINANCIAL SERVICES LTD.

NBFC : No. B- 13.01633



KJMC FINSERV
MONEY MATTERS

April 16, 2021

To,

The Department of Corporate Services - CRD,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001.

Dear Sir/Madam,

Ref.: Scrip Code 530235

Sub. : Submission of Certificate issued under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned subject please find enclosed Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s S. S. Rauthan & Associates, Practicing Company Secretaries for the half year ended March 31, 2021.

Kindly take the above on record.

Thanking you,

Yours faithfully,

For **KJMC Financial Services Limited**

Akash Anchan
Company Secretary
Encl: As above



Regd. office :- 162, 16th Floor, Atlanta, 209, Nariman Point, Mumbai - 400021.

Tel.: +91-22- 2288 5201-2, 4094 5500 ● Fax: +91-22-2285 2892 ● Email: info@kjmc.com ● Website: www.kjmcfinserv.com

CIN:L65100MH1988PLC047873



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31ST MARCH, 2021**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **KJMC Financial Services Limited** having registered office at 162, Atlanta, 16th Floor, Nariman Point, Mumbai - 400 021 (hereinafter referred as "The Company") maintained by Bigshare Services Private Limited having office at 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai - 400059, (hereinafter referred as Registrar and Share Transfer Agent "The RTA") pertaining to transfer of equity shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **KJMC Financial Services Limited** and based on the information provided by the Company and its RTA hereby certify that the Company has delivered during the half year ended on 31st March, 2021;

- A) During the period from October 1, 2020 to March 31, 2021 the Company has not received any request for transfer of equity shares. However, there was transmission of 100 equity shares.
- B) During the period from October 1, 2020 to March 31, 2021 the Company has not received any request for sub-division, consolidation, renewal or issuance of duplicate share certificates.

For S. S. Rauthan & Associates
Company Secretaries


Surjan Singh Rauthan
Proprietor

M.No. : FCS-4807, COP No.: 3233

Peer Reviewed Cert. No. : 434/2016

UDIN: F004807C000098065

Place: Mumbai

Date : 15th April, 2021

Practicing Since 1999



703, Navjivan Commercial Premises Co-op. Soc. Ltd., Lamington Road, Mumbai - 400 008.
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