

BAJRANGLAL PRAHLAD RAI HUF
F3, Circular Mansion,
222, A.J.C. Bose Road,
Kolkata – 700 017

January 14, 2021

1. Maithan Alloys Limited
Ideal Centre, 4th Floor,
9 AJC Bose Road,
Kolkata – 700 017.
2. The Listing Department
The Calcutta Stock Exchange Limited
7, Lyons Range, Kolkata 700 001
Scrip code: 023915
3. Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051
Scrip code: MAITHANALL-EQ

Sub: Intimation U/r 13(4A) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

Dear Sirs,

This is to inform you that, Bajranglal Prahlad Rai HUF (bearing PAN AABHB8299K) (“the HUF”) was holding 1,40,550 equity shares M/s. Maithan Alloys Limited as on March 31, 2015, and was part of the ‘promoter group’ of Maithan Alloys Limited. The HUF thereafter sold the abovementioned equity shares during the period from May 8, 2015 to May 13, 2015 in the following manner:

Date of Transaction	No. of Shares Sold
08 / 05 / 2015	33,460
11 / 05 / 2015	39,980
12 / 05 / 2015	34,330
13 / 05 / 2015	32,780
Total	1,40,550

The HUF has recently received a notice from SEBI alleging inter alia that the HUF failed to make necessary disclosures under of Regulation 13(4A) read with Regulation 13(5) of the SEBI (Prohibition of Insider Trading) Regulations, 1992 in relation to the abovementioned transactions. In this regard, the HUF proposes to avail of the settlement mechanism under the SEBI (Settlement Proceedings) Regulations, 2018 (“Settlement Regulations”), without prejudice to the rights and explanations of the HUF in relation to the alleged default(s). In terms of Regulation 3(8) of the Settlement Regulations, the HUF is required to first make the relevant disclosures before applying for settlement. In this regard, please find enclosed for your records, the disclosures in relation to the

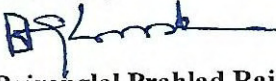


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abovementioned transactions in prescribed format as required under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992, on a 'without prejudice' basis.

Thanking you,

Yours truly,



Bajranglal Prahlad Rai (HUF)
Encl: a/a

Copy to:
The Corporate Relationship Department
BSE Limited
Rotunda Building, P.J. Towers,
Dalal Street, Fort,
Mumbai - 400 001

FORM D

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

[Regulation 13(4), 13(4A) and (6)]

Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a listed company

Bajrang Lal Prahlad Rai (HUF) (PAN = AABHB8299K) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkata-700017)	1	Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group
1,40,550 Equity shares of Rs 10/- each (0.9656% of paid up capital)	2	No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group
8 th May, 2015	3	Date of receipt of allotment advice/ acquisition/ sale of shares /voting rights
14 th January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
1,07,090 Equity shares of Rs 10/- each (0.7357% of paid up capital)	6	No. & % of shares/ post acquisition/ voting rights /sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	8	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
33,460 Equity shares of Rs 10/- each (14,970 shares sold on NSE and 18,490 shares sold on BSE)	11	Sell quantity
Rs. 66,85,500/- (Sale value Rs. 29,85,010/- on NSE Sale Value Rs. 37,00,490/- BSE)	12	Sell value

Bajrang Lal Prahlad Rai

FORM D

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

[Regulation 13(4), 13(4A) and (6)]

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1,07,090 Equity shares of Rs 10/- each (0.7357% of paid up capital)	2	No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group
11 th May, 2015	3	Date of receipt of allotment advice/ acquisition/ sale of shares /voting rights
14 th January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
67,110 Equity shares of Rs 10/- each (0.4611% of paid up capital)	6	No. & % of shares/ post acquisition/ voting rights /sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	8	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
39,980 Equity shares of Rs 10/- each (19,990 shares sold on NSE and 19,990 shares sold on BSE)	11	Sell quantity
Rs. 79,67,020/- (Sale value Rs. 39,81,010/- on NSE Sale Value Rs. 39,86,010/- BSE)	12	Sell value

B. Prasad

FORM D

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[Regulation 13(4), 13(4A) and (6)]

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Bajrang Lal Prahlad Rai (HUF) (PAN = AABHB8299K) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkata-700017)	1	Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group
67,110 Equity shares of Rs 10/- each (0.4611% of paid up capital)	2	No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group
12 th May, 2015	3	Date of receipt of allotment advice/ acquisition-/ sale of shares /voting rights
14 th January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
32,780 Equity shares of Rs 10/- each (0.2252% of paid up capital)	6	No. & % of shares/ post acquisition/ voting rights /sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	8	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
34,330 Equity shares of Rs 10/- each (16,830 shares sold on NSE and 17,500 shares sold on BSE)	11	Sell quantity
Rs. 67,17,342/- (Sale value Rs. 32,87,342/- on NSE Sale Value Rs. 34,30,000/- BSE)	12	Sell value

Bajrang Lal Prahlad Rai

FORM D

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[Regulation 13(4), 13(4A) and (6)]

Details of change in shareholding or voting rights held by Director or Officer and his Dependents or Promoter or Person who is part of Promoter Group of a listed company

Bajrang Lal Prahlad Rai (HUF) (PAN = AABHB8299K) Salanpur, Dist: Burdwan, P.O. Salanpur, PIN-713357 (F-3, Circular Mansion, 222 AJC Bose Road, Kolkata-700017)	1	Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group
32,780 Equity shares of Rs 10/- each (0.2252% of paid up capital)	2	No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group
13 th May, 2015	3	Date of receipt of allotment advice/ acquisition/ sale of shares /voting rights
14 th January, 2021	4	Date of intimation to company
Market Sale	5	Mode of acquisition (market purchase/ public/rights/preferential offer etc.)/Sale
Nil	6	No. & % of shares/ post acquisition/ voting rights /sale
BMA Wealth Creators Limited SEBI Regn. No.: NSE - INB231233132 BSE=INB011233138	7	Trading Member through whom the trade was executed with SEBI Registration No. of the TM
National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE)	8	Exchange on which the trade was executed
N.A	9	Buy quantity
N.A	10	Buy value
32,780 Equity shares of Rs 10/- each (16,780 shares sold on NSE and 16,000 shares sold on BSE)	11	Sell quantity
Rs. 64,11,113/- (Sale value Rs. 32,86,112/- on NSE Sale Value Rs. 31,25,001/- BSE)	12	Sell value

B. J. Sankar