

FIL/SEC/SEs/2021-22/010  
22<sup>nd</sup> April, 2021

The Manager – Listing Department National Stock Exchange of India Limited 5, Exchange Plaza Bandra-Kurla Complex Bandra (East), Mumbai 400051	The Manager – Listing Department BSE Limited Registered Office: Floor 25 P.J.Towers Dalal Street Mumbai 400 001
Scrip Code: FINPIPE	Scrip Code: 500940/FINOLEXIND

**Sub : Certificate under Sub-Regulation (9) to (11) of Regulation 40 of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015**

Dear Sir/Madam,

In terms of the subject referred Regulation, we enclose herewith the certificate dated 22<sup>nd</sup> April, 2021, for the **half year** ended 31<sup>st</sup> March, 2021 issued by SVD & Associates, Practicing Company Secretaries, Pune.

You are requested to take the above on your records.

Thanking you,

Yours truly,  
For **Finolex Industries Limited**

Ashutosh Kulkarni  
Company Secretary & Compliance Officer  
ACS No.: 18549

Encl: As above

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015  
FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2021

We have verified the documents and Statements relating to equity shares of **Finolex Industries Limited** (“the Company”) having its registered office Gat No. 399, Village Urse, Taluka Maval, District Pune – 410506, Maharashtra, maintained by M/s **KFin Technologies Private Limited** (Formerly known as “Karvy Fintech Private Limited”), Registrar and Transfer Agents (“RTA”), having its registered office at Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad Rangareddi - 500032, Telangana, sent to us on email, for the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(‘SEBI LODR’).

Pursuant to the amended sub-regulation 1 of Regulation 40 of the SEBI (LODR) it is mandatory that the transfer of securities shall be carried out in dematerialized form only w.e.f. April 01, 2019. However, SEBI vide press release dated March 27, 2019, vide circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 7, 2020 and circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02, 2020 provided operational guidelines for transfer and dematerialisation of re-lodged physical shares (herein refer as “the operational guidelines”).

We hereby certify that during the half year ended on March 31, 2021 the Company has:

- a) Not received any re-lodged request for transfer of securities;
- b) Delivered the share certificates with respect to requests for deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable received

