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 1800 200 3466

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 Web
 finolexpipes.com



FIL/SEC/SEs/2021-22/010 22nd April, 2021

The Manager – Listing Department	The Manager – Listing Department
National Stock Exchange of India	BSE Limited
Limited	Registered Office: Floor 25
5, Exchange Plaza	P.J.Towers
Bandra-Kurla Complex	Dalal Street
Bandra (East),	Mumbai 400 001
Mumbai 400051	
Scrip Code: FINPIPE	Scrip Code: 500940/FINOLEXIND

Sub : Certificate under Sub-Regulation (9) to (11) of Regulation 40 of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015

Dear Sir/Madam,

In terms of the subject referred Regulation, we enclose herewith the certificate dated 22nd April, 2021, for the **half year** ended 31st March, 2021 issued by SVD & Associates, Practicing Company Secretaries, Pune.

You are requested to the take the above on your records.

Thanking you,

Yours truly, For **Finolex Industries Limited**

Ashutosh Kulkarni Company Secretary & Compliance Officer ACS No.: 18549

Encl: As above

Tel Fax Email Web







CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED ON 31ST MARCH,2021

We have verified the documents and Statements relating to equity shares of **Finolex Industries Limited** ("the Company") having its registered office Gat No. 399, Village Urse, Taluka Maval, District Pune – 410506, Maharashtra, maintained by M/s **KFin Technologies Private Limited** (Formerly known as "Karvy Fintech Private Limited"), Registrar and Transfer Agents ("RTA"), having its registered office at Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad Rangareddi - 500032, Telangana,sent to us on email, for the period from 01stOctober, 2020 to 31st March, 2021 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015('SEBI LODR').

Pursuant to the amendedsub-regulation 1 of Regulation 40 of the SEBI (LODR) it is mandatory that the transfer of securities shall be carried out in dematerialized form only w.e.f. April 01,2019. However, SEBI vide press release dated March 27, 2019, vide circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 7, 2020 and circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02, 2020 provided operational guidelines for transfer and dematerialisation of re-lodged physical shares (herein refer as "the operational guidelines).

We hereby certify that during the half year ended on March 31, 2021 the Company has:

- a) Not received any re-lodged request for transfer of securities;
- b) Delivered the share certificates with respect to requests for deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable received

during this period within 30 days from the respective date of lodgement of each form except those rejected on technical grounds or those where seller notices have been sent;

c) Delivered the share certificates with respect to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgement or event date except those rejected on technical grounds.

Note: We could not verify the data physically for this certificate as an effect of the order of the state government and local bodies to observe social distancing so as to avoid the spread of the pandemic of Covid -19.

For SVD & Associates Company Secretaries

SRIDHAR GOPALKRISHNA MUDALIAR

Disbally signed by SIRD-MI COPALISESHAA MUDALIAR Dife call, all Maranahan, 2014 call, all Maranahan, 2014 call and an annotation of the second second second second effort and an annotation of the second second conduct second werdination-bit 2010 facilitation of the second second conduct Second Corpolational Annotation of the second second conduct second Corpolation and Second Second Conduct Second Corpolation and Second Second Second Second Second Corpolation and Second Sec

Sridhar Mudaliar Partner FCS No. 6156 C.P. No. 2664

Place: Pune Date:22.04.2021

UDIN:F006156C000155030