

April 03, 2024

The Manager, Listing Department, **BSE Limited**, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai - 400 001. **BSE Scrip Code: 543988** The Manager, Listing Department, **National Stock Exchange of India Ltd.,** Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051. **NSE Symbol: NUVAMA**

Subject: - Compliance Certificate pursuant to Regulation 7 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir(s) / Madam(s),

In accordance with Regulation 7 of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 ("the Regulations"), this is to confirm that the Company has complied with the provisions of Regulation 7(2) of the Regulations during the financial year ended March 31, 2024, and that all activities in relation to both physical and electronic shares transfer facility for the financial year ended March 31, 2024, were maintained by the Company's Registrar & Share Transfer Agent, viz., Link Intime India Private Limited, a SEBI registered Category-I Registrar & Share Transfer Agent (Registration Number: INR000004058)

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Nuvama Wealth Management Limited For Link Intin (formerly known as Edelweiss Securities Limited)

For Link Intime India Private Limited

Sneha Patwardhan Company Secretary and Compliance Officer **Authorized Representative**