

SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 2575928

08/05/2024

To

**The Corporate Relations Department,
BSE Limited, 1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Fort, Mumbai — 400 001**

Dear Sir / Madam,

Sub: Initial Disclosure to be made by an entity identified as a Large Corporate

Ref: Swarna Securities Ltd - 531003

This is in reference to the SEBI Circular No SEBI/HO/DDHS/P/CIR/2021/613 dated 10 August 2021 (updated as on 13 April 2022) in respect of fund raising by the issuance of Debt Securities by Large Entity and disclosures and compliances thereof by such Large Corporate (LC). In this connection, we hereby confirm that our Company Namely M/s. Swarna Securities Limited does not fall under the Large Corporate (LC) category as per the framework provided in the aforesaid Circular.

The detail required as the above circulars are enclosed as Annexure A.

Please take the same on record.

Thanking You,

Yours Faithfully,

For **Swarna Securities Limited**

ANIL KUMAR MOOLA
Digitally signed by ANIL
KUMAR MOOLA
Date: 2024.05.08 12:14:55
+05'30'

M Anil Kumar
Company Secretary & Compliance Officer
Membership No: A27989

II FLOOR, SWARNALOK COMPLEX, GOVERNORPET, VIJAYAWADA - 520002, AP, INDIA.

email: swarnasecurities@rediffmail.com

Website : www.Swarnasecurities.com

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Annexure A

Format of the Initial Disclosure to be made by an entity identified as a Large Corporate
(To be submitted to the Stock Exchange(s) within 30 days from the beginning of FY)

S.No	Particulars	Details
1	Name of the Company	Swarna Securities Limited
2	CIN	L52520AP1990PLC011031
3	Outstanding Borrowings of Company as on 31st March 2024 (Rs. Cr)	Nil
4	Highest Credit Rating During the previous FY along with the name of the Credit Rating Agency	Not Applicable
5	Name of the Stock Exchange in which the fine shall be paid, in case of a Shortfall in the required borrowings under the framework	Not Applicable

We confirm that we are not a Large Corporate as per the applicability criteria given under the Chapter XII of SEBI Operational circular dated August10,2021.

For Swarna Securities Limited

ANIL KUMAR
MOOLA

Digitally signed by ANIL KUMAR
MOOLA
Date: 2024.05.08 12:15:46 +05'30'

M Anil Kumar
Company Secretary & Compliance Officer
Membership No: A27989
Contact No: +91-0866-2575928
Email: swarnasecurities@rediffmail.com


Chaya Devi Guduru
Chief Financial Officer
Contact No: +919553142225
Email: swarnasecurities@rediffmail.com

Date:08/05/2024

Note: In terms paragraph of 2.2(d) of the circular, beginning F.Y 2022, in the event of shortfall in the mandatory borrowing through debt securities, a fine of 0.2% of the shortfall shall be levied by Stock Exchanges at the end of the two-year block period. Therefore, an entity identified as LC shall provide, in its initial disclosure for a financial year, the name of the Stock Exchange to which it would pay the fine in case of shortfall in the mandatory borrowing through debt markets.

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