



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set, not Just met

16.04.2024

To
The General Manager – DCS
Listing Operations – Corporate Service Dept.
BSE Ltd.

Scrip Code: 530907

Dear Sir/ Madam,

Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

We hereby submit the Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 obtained from Ms. Liya Antony, the Company Secretary in Practice, for the Year ended March 31, 2024.

Kindly take the same on record.

Thank You

For S.I. Capital & Financial Services Limited

SREERAM G Digitally signed
by SREERAM G
Date:
2024.04.16
12:51:50 +05'30'

Sreeram Gopinathan Nair
Managing Director
Din: 05143385



Encl: As above

Regd. Office No.27, First Floor, New Scheme Road,
Pollachi, Coimbatore, Tamil Nadu 642001
CIN : L67190TZ1994PLC040490
Tel : 04259 – 233304/05, E-Mail : info@sicapital.co.in
Website : www.sicapital.co.in



LIYA AND ASSOCIATES

COMPANY SECRETARY IN PRACTICE

Phone: 96564 40562 | 91420 96033 | Email: liyaandassociates@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

FOR THE YEAR ENDED 31ST MARCH 2024

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to S.I.Capital & Financial Services Limited maintained by the Share Transfer Agents M/s Link Intime India Private Limited pertaining to transfer of Equity shares of the Company for the period from 1st April 2023 to 31st March 2024 for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI(LODR) Regulations 2015 and Listing Agreement as entered into by, S.I.Capital & Financial Services Limited with BSE Limited and based on the information provided by the Company, hereby certify that the Company has delivered during the year ended on 31st March 2024:

- A) All the share certificates relating the Share Transfer forms received during the period from 1st April 2023 to 31st March 2024 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form except those rejected on technical grounds.
- B) Has not received any request for subdivision, consolidation, renewal, exchange of duplicate/ split certificates or endorsement of calls / allotment monies.

Place : Ernakulam
Date : 15.04.2024

UDIN : A039611F000129536

For LIYA AND ASSOCIATES

LIYA ANTONY
Company Secretary In Practice
Membership No: 39611, CP No: 19314
No: 101, 1st Floor, K George Technology Arcade
K C Joseph Road, Panampilly Nagar
Ernakulam, Kerala - 682 036

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