

TRISHAKTI INDUSTRIES LTD.

(formally known as TRISHAKTI ELECTRONICS & INDUSTRIES LTD.)

CIN NO. L31909WB1985PLC039462
GSTIN No. 19AAACT915081ZH

Godrej Genesis, Salt Lake City, Sector – V,
10th Floor, Unit No. 1007, Kolkata – 700 091,
Phone: +91 33 40082489
Fax : +91 33 2230 9479
E-mail : info@trishakti.com
Web : www.trishakti.com

May 22,2024

The Manager Corporate Relationship Department BSE Limited 1st Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai - 400001 BSE Security Code: 531279	The Company Secretary The Calcutta Stock Exchange Limited 7, Lyons Range Kolkata-700001 CSE Scrip Code: 10030166
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Sub: Regulation 24A- Annual Secretarial Compliance Report

Respected Sir/Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019; we are submitting herewith the Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2024, which has been issued and signed by Ms. Neha Poddar, Practising Company Secretary.

We hereby request you to take the same on your records for the confirmation of compliance

Thanking You,

Yours faithfully,

For Trishakti Industries Limited

TRISHAKTI INDUSTRIES LIMITED



Director

Suresh Jhanwar
Managing Director
DIN: 00568879

Enclosed: as stated above



Neha Poddar

Company Secretary

129 Bangur Avenue
Block "A", Opposite Reliance Fresh
Kolkata – 700 055
Phone: + 91 99030 48692
csneha.poddar2710@gmail.com

To
The Board of Directors
Trishakti Industries Ltd

Sub.: `Annual Secretarial Compliance Report for the Financial Year 2023-24`

Dear Sir,

I have been engaged by M/s. Trishakti Industries Limited (hereinafter referred to as the "Company") bearing CIN: L31909WB1985PLC039462 whose Equity Shares are listed on BSE and CSE, to conduct an Audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended and read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company records, devise proper systems to ensure Compliance with the provisions of all the applicable SEBI Regulations and Circulars/Guidelines issued thereunder from time to time and to ensure that the systems are adequate and are operating effectively.

My responsibility is to verify Compliance by the Company with the provisions of all applicable SEBI Regulations and Circulars/Guidelines issued from time to time and issue a Report thereon.

My Audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications considered and necessary and adequate for the said purpose. Annual Secretarial Compliance is attached herewith.

Neha Poddar
Practicing Company Secretary
Mem No:-A33026
Cp No:- 12190
Place:- Kolkata
Dated:- 6.5.2024



Neha Poddar

Company Secretary

129 Bangur Avenue
Block "A", Opposite Reliance Fresh
Kolkata – 700 055

Phone: + 91 99030 48692
csneha.poddar2710@gmail.com

Secretarial Compliance Report of TRISHAKTI INDUSTRIES LIMITED
(CIN:L31909WB1985PLC039462)
for the year ended March 31, 2024

To,
The Board Of Directors,
Trishakti Industries Ltd

I, Neha Poddar PCS have examined:

- a) All the documents and records made available to me and explanations provided by the Company;
- b) the filings/submissions made by the company to the Stock Exchanges;
- c) Website of the Company;
- d) Any other documents/filings, as may be relevant, which has been relied upon to prepare this Certificate.

For the financial year ended on March 31, 2024 in respect of Compliance with the provisions of:

1. The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, Circular, Guidelines issued thereunder and;
2. The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under and the Regulations, Circular, Guidelines issued thereunder by the Securities & Exchange Board of India (SEBI);

The specific Regulations whose provisions and the Circulars/Guidelines issued thereunder have been examined, includes -

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
- b) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- c) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- d) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- e) The Securities and Exchange Board of India (Share Based Employees Benefits) Regulations, 2014;
Not Applicable for the period
- f) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
Not Applicable for the period
- g) The Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable

Preference Shares) Regulations, 2013;

- h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, regarding the Companies Act and dealing with client;
- i) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **Not Applicable for the aforesaid period**
- j) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- k) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable for the period**

and circulars/ guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period, the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations / Remarks by PCS
1.	<p>Secretarial Standards:</p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)</p>	YES	
2.	<p><u>Adoption and timely Updation of the Policies:</u></p> <ul style="list-style-type: none"> i. All applicable policies under SEBI Regulations are adopted with the approval of the board of directors of the listed entities. ii. All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI. 	<p>YES</p> <p>YES</p>	
3.	<p><u>Maintenance and disclosures on Website:</u></p> <ul style="list-style-type: none"> i. The Listed entity is maintaining a functional website. ii. Timely dissemination of the documents/ information under a separate section on the website. iii. Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website. 	<p>YES</p> <p>YES</p> <p>YES</p>	
4.	<p>Disqualification of Director:</p> <p>None of the Directors of the Company are disqualified under Section 164 of Companies Act, 2013.</p>	YES	

5.	<u>Details related to Subsidiaries of listed entities:</u> i. Identification of material subsidiary companies. ii. Requirements with respect to disclosure of material as well as other subsidiaries.	NA NA	
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	YES	
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees during the financial year as prescribed in SEBI Regulations.	YES	
8.	<u>Related Party Transactions:</u> i. The listed entity has obtained prior approval of Audit Committee for all Related party transactions; or ii. In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified/ rejected by the Audit committee.	YES YES	
9.	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	YES	
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	YES	
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued	YES	1. Imposition of Penalty of Rs. 58,61,060 by BSE, Rs. 1825460 is waived off. The Company has applied for penalty withdrawal. And BSE further withdrawal Rs. 3415000/- is waived off on dated on 4 th May 2023.

	thereunder except as provided under separate paragraph herein.		2.The Company has complied with all the compliances related Preferential Allotment. Approval by BSE pending.
12.	<u>Additional Non-compliances, if any:</u> No additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	YES	

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	Compliances with the following conditions while appointing/re-appointing an auditor		
	<ul style="list-style-type: none"> i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year. 	<p style="text-align: center;">NA</p> <p style="text-align: center;">NA</p>	The auditor has not resigned in between during financial year ended on 31 st March,2024 and all limited review/ audit report have been signed by the existing auditor only. And hence items I, ii and iii of 1 are not applicable.
2.	Other conditions relating to resignation of statutory auditor		
	<ul style="list-style-type: none"> i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee: <ul style="list-style-type: none"> a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings. b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed 	NA	As informed, no such event has incurred in between during the financial year ended on 31 st March,2024 and hence items a, b and c of 2 are not

	<p>resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information/ explanation sought and not provided by the management, as applicable.</p> <p>c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.</p>		<p>applicable</p> <p>As informed all relevant information has been fully furnished to the Auditor.</p>
3.	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.	NA	The Auditor has not resigned in between during the financial year ended on 31st March, 2024 and hence the same is not applicable.

The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under wherever applicable, except in respect of matters specified below:

Sl. No.	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1	Not Applicable								

(a) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl. No.	Compliance requirement (Regulations/ circulars/ guidelines including	Regulation/ Circular No.	Deviations	Action Taken by	Type of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
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	specific clause)							
1	Not Applicable							

This is further to state that:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. My responsibility is to certify based upon my examination of relevant documents and information. This is neither an audit nor an expression of my opinion.
3. I have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.
5. Split of Shares was done on 16.01.2024

Place: Kolkata
Dated: 6.5.2024

NEHA PODDAR
(Practicing Company Secretary)
ACS – 33026 / CP – 12190
UDIN NO:-A033026F000311581
Peer Review No:- 2389/2022